

### Handbook

### MENTAL HEALTH AND PSYCHOSOCIAL SUPPORT COORDINATION

IASC MHPSS Reference Group

December 2022 Endorsed by IASC OPAG

# HANDBOOK

OF MENTAL HEALTH AND PSYCHOSOCIAL SUPPORT (MHPSS) COORDINATION



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### HOW WAS THIS HANDBOOK DEVELOPED?

**Beginning in November 2020** 

**Desk review of existing coordination handbooks** 11 handbooks reviewed: e.g. protection, child protection, WASH, Shelter, Gender-Based Violence

Key informant interviews with MHPSS experts

Iterative consultations with MHPSS TWG co-chairs and IASC MHPSS RG experts February 2021 May 2021 October 2021

Full document review, feedback and revision Two "targeted" reviews by experts on specific topics Two full reviews by IASC MHPSS RG, clusters and international actors

Four dedicated review dicussions with MHPSS Technical Working Groups Myanmar, North-East Nigeria, Ukraine and Trinidad and Tobago TWGs

Ongoing consultations on specific aspects of the handbook E.g. key actions for MHPSS in public health emergencies



### **TABLE OF CONTENTS**

Introduction	5
Why is coordination important?	5
What is the purpose of this handbook?	6
Who is this handbook for?	7
How should this handbook be used?	7
What about the reader?	
Overview of MHPSS in the humanitarian system	
What are the common humanitarian coordination structures?	
Where does MHPSS "fit" and what is the purpose of MHPSS TWGs?	9
What is the IASC MHPSS Reference Group?	10
Foundational elements of MHPSS coordination	
What are the foundational principles for MHPSS?	
What are the foundations of an effective MHPSS TWG?	12

#### **Core Actions**

Navigating the core actions	18
Core Action 1 - (Re)establishing and maintaining a technical working group	19
Core Action 2 - Information management	22
Core Action 3 - Establishing links between stakeholders	25
Core Action 4 - Building capacity, knowledge exchange and peer support	28
Core Action 5 - Monitoring and evaluation	31
Core Action 6 - Promoting long-term sustainability	34
Core Action 7 - MHPSS advocacy	44

#### Annexes

Annex 1 - Brief overview of common coordination structures	50
Annex 2 - MHPSS across PHE response pillars	52
Annex 3 - Resources for integrating MHPSS across sectors	53
Annex 4 - List of indicators for Core Actions	54
Annex 5 - Checklist of Core Actions deliverables	55
Annex 6 - MHPSS Minimum Service Package (MSP) actions arranged by handbook Core Actions	56
Annex 7 - Analysing existing coordination structures	57
Annex 8 - Potential stakeholder roles and responsibilities	58
Annex 9 - Tips for MHPSS TWG terms of reference and workplans	60
Annex 10 - Tips for integrating MHPSS into rapid needs assessments	61
Annex 11 - MHPSS assessments in the context of COVID-19 and PHEs	62
Annex 12 - Tips for integrating MHPSS across sectors during the humanitarian programme cycle	65
Annex 13 - MHPSS sustainability checklist	66
Annex 14 - Resources and materials	67

### **ABBREVIATIONS**

- AoR Area of Responsibility
- **CBO** Community-based organization
- CCCM Camp coordination and camp management
- CP Child protection
- CSO Civil society organization
- DRR Disaster risk reduction
- GBV Gender-based violence
- HNO Humanitarian needs overview
- HPC Humanitarian programme cycle
- HRP Humanitarian Response Plan
- IASC Inter-Agency standing committee
- ICCG Inter-Cluster coordination group
- IEC Information, education and communication
- IFRC International Federation of Red Cross and Red Crescent Societies

- INGO International non-governmental organization
- M&E Monitoring and evaluation
- MH Mental health
- MHPSS Mental health and psychosocial support
- MNS Mental, neurological and substance use
- MoV Means of verification
- MSP Minimum Service Package
- NGO Non-governmental organization
- OCHA Office for the Coordination of Humanitarian Affairs
- PFA Psychological first aid
- **SOPs** Standard operating procedures
- **SPRP** Strategic Preparedness and Response Plan
- TWG Technical working group
  - UN United Nations
  - WASH Water, sanitation and hygiene
  - WHO World Health Organization

# HANDBOOK OF MENTAL HEALTH AND PSYCHOSOCIAL SUPPORT(MHPSS) COORDINATION

1

# **Chapter 1**

# INTRODUCTION

During and after an emergency, many local, national and sometimes international actors respond to support those in need. In many cases, this aid is crucial and can save lives, reduce suffering and maintain dignity. However, when it is poorly planned, un-coordinated and designed without the participation of local communities,, aid can also lead to harmful outcomes. Therefore, it is essential that the different actors. each responding to the

same crisis with their own mandates, missions, interests and working languages, organize their efforts. This coordination is of critical importance because it prevents confusion and conflict, reduces duplication and harmful gaps and supports the efficient use of scarce resources. In short, it can truly save lives. Therefore, coordination is not a goal. Instead, it is a process of collaboration to improve the quality and accountability of a humanitarian response.

#### Why is coordination important?

All over the world, people are affected by crises public health emergencies, socio-environmental hazards, conflicts, largescale accidents. There are differences in how people and communities react to these experiences, as well as differences in their need for support.

### **Defining common** terminology: **MHPSS** Technical

#### **Working Groups** and Co-Chairs

Throughout this handbook, the term MHPSS Technical Working Group (TWG)<sup>1</sup> is used to represent the diversity of MHPSS coordination structures, while the term "co-chair" is used to refer to the persons facilitating the work of these groups.

There are multiple ways in which MHPSS coordination structures have been established and named, including MHPSS (Technical) Working Group, Coordination Group, Advocacy Group, Task Force, Coordination Forum, and MHPSS Network or Network Group. Similarly, there are various terms used to refer to the actors facilitating these

groups, including MHPSS TWG | indicate the intended role of the facilitators, leads, stewards or coordinators.

#### In complex humanitarian settings, aligning language is key to promoting collaboration.

In fact, where relations among different agencies or among agencies and government are sensitive, The naming and description of these mechanisms can be essential to easing tension, or can sometimes intensify disagreement. For example, the label "MHPSS Technical Working Group" can evoke an intended sense of partnership and collaboration, rather than one of competition or power dynamics. The same is also true of the label given to the person or people facilitating these groups: "coordinator" may imply a sense of unintended hierarchy while "co-chair" may be more likely to

**Overall goal:** to reduce suffering and improve mental health and psychosocial wellbeing

#### **Mental health** and psychosocial support (MHPSS)

Historically, "mental health" was often overseen by the health sector while "psychosocial support" was often overseen by protection actors. Considerable advocacy has been essential in redefining MHPSS as a crosssectoral area of work for all humanitarian sectors.

The 2007 IASC Guidelines on Mental Health and **Psychosocial Support in Emergency Settings were key** to enabling this advocacy by establishing the consensusbased composite term "mental health and psychosocial support". The global humanitarian system now uses this term to unite a broad range of actors to provide

appropriate

support in both mental health and psychosocial support and to demonstrate how these approaches complement one another.

The inclusion of MHPSS as a cross-cutting area in the most recent iteration of the

#### MHPSS

is a term used to describe "any type of local or outside support that aims to protect or promote psychosocial well-being and/or prevent or treat mental health condition" (IASC, 2007).

**Sphere Handbook** (2018) further highlights the need for diverse

approaches across sectors.

#### **Humanitarian MHPSS** coordination at its best:

- brings together diverse actors, with local humanitarian leadership and knowledge at the centre
- ensures a coherent, principled and sustainable response.

#### It results in:

- greater predictability, comprehensiveness and success of the response
- identification and filling of gaps in the response
- accountability to affected persons and communities
- equitable and effective collaboration to meet their needs.

The term "MHPSS TWG" was identified as the agreed term for MHPSS coordination platforms during a high-level meeting among humanitarian actors in London (2017). "In settings where clusters are activated, these groups are often referred to as "working groups", for example as described in IASC. (2021). Leadership in Humanitarian Action: Handbook for the Resident and Humanitarian Coordinator, while "Technical Working Groups" are otherwise defined as small, task oriented, time limited and created on a needs-basis (e.g., agreeing to minimum standards) and should be dissolved once they have completed their tasks. In these settings, the term "Working Group" may be more appropriate to describe the MHPSS Coordination structures.

person as a facilitator of the group, one among equals.

"Co" is included because it is recommended that the role be shared among two or more persons (i.e. co-chairs), and possibly rotated at regular intervals.

Thus, while in many contexts a single person is tasked with the role, the term co-chair is used in this handbook to reflect this recommendation.

#### Please note:

An appropriate translation of these terms based on their intention, rather than an exact translation, is strongly encouraged. It is important to ensure that this is a participatory process and that the terminology accurately reflects this intention in the local context.

Many factors can be a barrier to coordination and can lead to ineffective, inefficient, duplicative and potentially harmful outcomes, including

Iimited funding

• differing agendas and time constraints

structural challenges that lead to division and competition

narrowly defined sectors and the risk of some being forgotten or ignored entirely • the existence of separate coordination groups for mental health and psychosocial support

Inking MHPSS to only one sector or cluster.

What is the purpose

emergency settings around the world.

• a description of common coordination

• a set of core actions for MHPSS TWGs

• an outline of foundational skills

There is growing consensus around the need for

appropriate MHPSS coordination and there are many strong examples of effective MHPSS coordination in

This handbook outlines consensus-based guidance

for members and facilitators of MHPSS TWGs and

actors working at country level. It identifies the

ingredients for effective coordination by building on good practices from past emergencies and provides

It assumes that the reader is beginning with a

basic knowledge of the IASC MHPSS Guidelines

(2007). It also emphasizes the central role of local

actors and affected people, who must be actively

identified and engaged (see box below). While the

handbook is specifically targeted towards country-

level actors, it may also be useful at the regional or

headquarters level for organizations supporting or

facilitating MHPSS TWGs in countries where they

operate. It can also be useful in supporting advocacy

by highlighting gaps in a response and providing

avenues to demonstrate the impact of coordination

of this handbook?

tools and useful resources.

and characteristics

across settings.

It contains:

structures

experience, setting, needs and priorities. Keep in How should this mind that it is intended to be descriptive rather handbook be used? than prescriptive, and that adaptation is crucial. Because of the variation across and within Additionally, the handbook is linked with, but does humanitarian settings, this handbook is not not replace, existing MHPSS guidance, tools and intended to be read "cover to cover". Instead, resources. Where relevant, links to these resources it may be navigated based on the reader's are provided.

<ul> <li>A descriptive guide, based on lessons learned</li> </ul>	×.
✓ A brief, easy-to-read handbook	×.
A handbook informed by existing guidance	×
An explanation of what factors facilitate effective MHPSS coordination, including possible steps to take to achieve this	×.
A resource for MHPSS coordination at	×



#### The need for contextualization and cultural adaptation

Throughout this handbook, multiple actions are recommended to promote better coordination. While the handbook was written to describe these actions based on possible steps. it must be understood that each setting is different in terms of needs. resources, capacities and stakeholders. As a result, while national and local expertise.

WHAT THIS HANDBOOK IS...

country or local level

### THE PRINCIPLE **OF LOCAL AGENCY**

**Emergency Settings.** 

**Ensuring MHPSS** 

Humanitarian Coordinator

on MHPSS

recommendations from

**MHPSS TWGs to facilitate coordination.** 

the humanitarian system

A number of calls have been made to establish

• IASC (2021). Leadership in Humanitarian

•UN General Assembly Economic and

Social Council (2021). Strengthening of the

coordination of emergency humanitarian

assistance of the United Nations: Report of the

Secretary-General, 2021 (A/76/74-E/2021/54)

• IASC (2020). Joint Inter-agency Call for Action

• IASC Principals Meeting, 5 December 2019

Rebuilding Lives - Addressing Needs, Scaling

Up and Increasing Long-term Structural

MHPSS Interventions in Protracted and Post-

Conflict Settings: Expert Meeting, Berlin, 2018

WHO, UNICEF, UNHCR and UNFPA, Minimum

Services Package for MHPSS (MHPSS MSP)

• The IASC (2007) Guidelines on MHPSS in

Action: Handbook for the Resident and

Coordination:

For mental health and wellbeing, the involvement of affected persons is as important as the services and supports provided.

This handbook emphasizes community-based approaches to MHPSS,' including in MHPSS coordination. It recognizes that local communities can and should be leaders in their actors are excluded from decision-making processes in favour of large, well-funded international humanitarian organizations. When external actors are involved, they must understand and respect this principle and work to support and build on what already exists locally.

own recovery. Too often, local

activities.

This includes relying on the strengths of local actors, including those not affiliated with formal organizations or regularly active in humanitarian response (e.g.

local religious institutions, village committees, informal community groups).



#### WHAT ABOUT THE READER?

#### Working in humanitarian settings can be extremely stressful.

Promoting staff and volunteer care, including through MHPSS TWG activities, is crucial. Though this handbook does not specifically address how to implement approaches to staff and volunteer care,<sup>1</sup> it does attempt to recognize the role of MHPSS

coordination structures in doing this. Also included throughout the handbook are illustrations of simple strategies to promote self-care for the reader.<sup>2</sup> These reminders may be useful in managing stress among those working to promote better coordination and using this handbook.

1 For guidance on implementing strategies for staff and volunteer care, please see: (forthcoming). Guidance note on mental health and psychosocial care for national staff and volunteers in humanitarian settings. 2 Additional simple strategies for self-care can be found in: Plan International. Self-Care: Manual for humanitarian aid & development workers. https://plan-international.org/publications/self-care-manual-humanitarian-aid-development-workers

1 For more information, please see: IASC Reference Group on MHPSS (2019). Community-based approaches to MHPSS Programmes A Guidance Note. https://reliefweb.int/report/world/community-based-approaches-mhpss-programmes-guidance-note



#### WHAT THIS HANDBOOK IS NOT!

- A set of prescriptive rules on how a TWG and its members (e.g. co-chairs) should be established across settings
- An exhaustive resource on how to address all challenges in the humanitarian system or within the MHPSS field
- A replacement for existing guidance
- A "step-by-step" guide for MHPSS programming or coordination in every setting
- A guide to coordination across regions or at the global level

the standards and principles described in this handbook are applicable across many settings, certain actions may be relevant in some settings but less relevant in others. Therefore, the guidance outlined in this handbook must be considered with context in mind and must be adapted accordingly. Any adaptation should be done in collaboration with affected community members and relying on



#### Chapter 2

### **OVERVIEW OF MHPSS IN THE HUMANITARIAN SYSTEM**

What are the common humanitarian coordination structures?

A key initial step in ensuring that MHPSS responses are well coordinated, integrated and prioritized is to identify and link with active coordination structures in a given setting. Although there are many approaches to coordination, five common examples are highlighted below. For a more detailed overview of the most common coordination structures and their components, please see Annex 1.

Cluster

coordination' **Clusters are formally** 

activated, in consultation

with national governments.<sup>2</sup> To identify if a cluster is

active in a country, please

visit OCHA's operations

webpage.

Area-based

coordination'

Area-based

coordination has been

implemented in many

settings but varies by

country and context.

To engage with area-based

coordination, identify

the local systems and engage with local

leaders.

#### How do I know what structures are active in my setting?

National coordination National or governmental coordination structures can vary greatly from country to country. Identifying national approaches will require discussion with government officials and other actors.

**Refugee coordination**<sup>3</sup> The United Nations **High Commissioner for** Refugees (UNHCR) facilitates coordination in refugee settings. For a list of settings where UNHCR is currently active, please visit the

UNHCR webpage.

**Public health** emergencies The World Health Organization (WHO) tracks global public health events, communicates early warning of risks and activates incident management systems to

coordinate response efforts when necessary. To track global public health events, please visit WHO's global surveillance

For more on the cluster approach, please visit: https://www.humanitarianresponse.info/en/about-clusters/what-is-the-cluster-approach 2 For more information on cluster activation, please visit: https://www.humanitarianresponse.info/en/coordination/clusters/activation-and-deacti-

3 For more on refugee and mixed coordination, please visit: https://emergency.unhcr.org/entry/38270/refugee-coordination-model-rcm 6 For more information on area-based coordination, please visit: <u>https://reliefweb.int/sites/reliefweb.int/files/resources/inclusive-coordination-ko-nyndyk-saez-worden.pdf</u>

6

### MHPSS PHE RESPONSE

**During public health** emergencies (PHEs), MHPSS is relevant across several pillars of response (see Annex 2) and in some cases it may also be viewed as a specific pillar in its own right, while linked to others as being cross-cutting.

The value of MHPSS within

Where does

**MHPSS "fit"** 

and what is

the purpose of

**MHPSS TWGs?** 

have its own technical approach on page 7).

**Generic MHPSS coordination structure within the humanitarian** cluster system at country level

### Humanitarian coordination / government leader **Inter-cluster Coordination Group** Health **Protection cluster** (with Child Protection, cluster **Gender-Based Violence** (GBV) and Mine Action) **MHPSS Technical Working Groups**

(with focal points in each of the clusters and with accountability in clusters, MHPSS activities to appear as relevant within Appeal chapters, rather than in a separate stand-alone Appeal chapter)

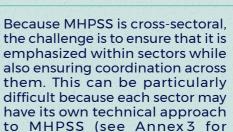


PHE response has been increasingly recognized, particularly during the COVID-19 pandemic.

During the Seventy-fourth World Health Assembly, held in May 2021, governments emphasized the need to develop and strengthen MHPSS services as part of strengthening preparedness, response and resilience to COVID-19 and future PHEs. Within the COVID-19 'Strategic Preparedness and Response Plan (SPRP), MHPSS is integrated in several pillars, including case management, infection

control measures, risk communication and community engagement, safe and dignified funeral rites, and maintaining safe and accessible essential health services.

This key role of MHPSS has been emphasized with the creation of an indicator measuring the "percentage of countries with multisectoral MHPSS TWGs".



Because MHPSS is cross-sectoral, guidance on MHPSS in different the challenge is to ensure that it is sectors). To meet this challenge, emphasized within sectors while MHPSS TWGs are thus ideally also ensuring coordination across forums that work together them. This can be particularly to unite approaches (see the difficult because each sector may humanitarian programme cycle





**Education**, Nutrition, **Camp Coordination** and Camp Management, and many other clusters



#### The HPC is a series of coordinated actions:

- 1. needs assessment and analysis
- 2. strategic response planning
- 3. resource mobilization
- 4. implementation and monitoring
- 5. review and evaluation.

It is used to produce the humanitarian needs overview (HNO), a key output of needs assessments in the country. It is used to develop the Humanitarian Response Plan (HRP): an overview of response objectives and priorities by cluster in the country. Each cluster or AoR formulates its own section.

All HNOs and HRPs feed into the global humanitarian needs overviews and response plans (GHNOs, GHRPs) produced by OCHA. These are crucial products for ensuring thatMHPSS is comprehensively provided in cluster settings.



#### History and purpose of the IASC MHPSS Guidelines and Reference Group

In 2007, the IASC Guidelines on MHPSS in Emergency Settings were published. They were a cornerstone of the MHPSS field and a major step forward in consensus-building.<sup>2</sup>

#### What are the IASC MHPSS Guidelines?

An inter-agency consensus-based resource to help to plan, establish and coordinate a set of minimum multi-sectoral MHPSS responses.

They also include a set of foundational principles, including a consensus-based definition for MHPSS (see page 2), dos and don'ts, a clear articulation of how mental health and psychosocial support practices complement rather than contradict one another and a comprehensive set of guidelines from which a series of accompanying tools and guidance have been developed.

#### Who was involved?

Developed through an inclusive process, with input from UN agencies and NGOs across sectors of humanitarian action.

Later, the IASC MHPSS RG was formed to disseminate the IASC MHPSS Guidelines to develop further guidance on various aspects of MHPSS.<sup>3</sup>

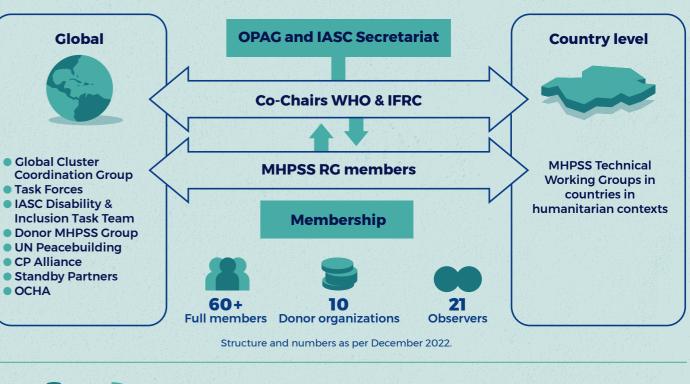
#### What is the IASC MHPSS RG?

A unique collaboration of 60 + members, including. UN agencies, NGOs, the International Red Cross and Red Crescent Movement, academic partners and other international agencies working with clusters and with the IASC Secretariat and Operational Policy and Advocacy Group (OPAG) to integrate MHPSS across sectors and to advocate with donors and the humanitarian system.

The IASC MHPSS RG also supports MHPSS Technical Working Groups at country level through technical support calls and missions, surge support and guidance for specific emergencies or on specific thematic areas of MHPSS (for examples of COVID-19 resoucres, see page 9).

1 For information on the humanitarian programme cycle, please visit: https://www.humanitarianresponse.info/en/programme-cycle/space 2 For more information on the IASC Guidelines on MHPSS, please visit: https://interagencystandingcommittee.org/mental-health-and-psychosocial-support-emergency-settings-0/documents-public/iasc-guidelines-menta

3 To see a full list of IASC MHPSS RG tools and guidance, please visit: https://interagencystandingcommittee.org/iasc-reference-group-onmental-health-and-psychosocial-support-in-emergency-settings

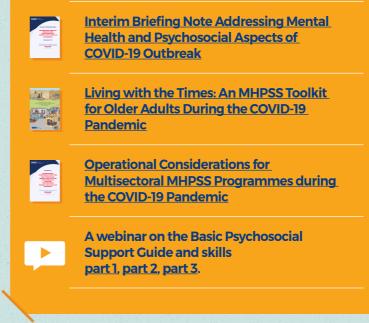




Surge support mechanisms can increase MHPSS capacity at country level by deploying MHPSS experts. For example, the Dutch Surge Support (DSS) rapid deployment mechanism' maintains a global roster of MHPSS experts who are often deployed in short-term roles to facilitate the establishment (or boost the capacity) of MHPSS TWGs and overall MHPSS coordination. The DSS mechanism is implemented in collaboration with the IASC MHPSS RG. Contact: mhpss@rvo.nl

### **IASC MHPSS RESOURCES FOR COVID-19**

During the COVID-19 pandemic, the IASC MHPSS RG has released many MHPSS resources to support the response. Many of these resources have been adapted in accessible formats, including Braille and Easy-to-Read.



For more information on DSS, please visit: https://english.rvo.nl/subsidies-programmes/mental-health-and-psychosocial-support-humanitarian-emergencies-dss-mhps



#### **MHPSS Surge Support**

**Basic Psychosocial Skills: A Guide for COVID-19 Responders** 



My Hero is You. How kids can fight **COVID-19!** 



Actions for Heroes: A guide for heart-toheart chats with children to accompany reading of My Hero is You!



Accessible Word files for these documents are available upon request: mhpss.refgroup@gmail.com

### Chapter 3 FOUNDATIONAL **ELEMENTS OF MHPSS** COORDINATION

#### What are the foundational principles for MHPSS?

All MHPSS activities, and their coordination, **4** Build on available resources and capacities should be grounded in a set of core principles established by the IASC (2007) Guidelines on MHPSS in Emergency Settings. These are:

1 Human rights and equity should be 5 Integrate support systems so that MHPSS is promoted for all affected persons, and those at heightened risk of human rights violations other programming. Integrated services reach should be protected.

2 Participation of local affected populations, national authorities and other local actors in all aspects of humanitarian response should be fully promoted.

**3** Do no harm to affected persons through the support provided.<sup>2</sup>

by engaging and working with local groups, supporting self-help and autonomy and building on existing resources.

not a stand-alone programme operating outside more people, are more sustainable and carry less stigma.

6 Multi-layered supports are crucial and acknowledge that people affected by crises respond in different ways and require different kinds of support (demonstrated in the pyramid below).

#### **EXAMPLES**

Mental health care by mental health specialists (psychiatric nurses, psychologists, psychiatrists, etc.).

Basic mental health care by primary health care doctors. Basic emotional and practical support by community workers, such as case management.

**Activating social networks. Supportive** child-friendly spaces and women's and girls' safe spaces, and communal and traditional supports.

Advocacy for basic services that are safe and socially appropriate and protect dignity.

**Specialized** services

Focused (person-to-person/ group)

**Strengthening community** and family supports

**Social considerations in basic** services and security

1 It is important to also consider foundational humanitarian principles, such as the Core Humanitarian Standard, Protection Mainstreaming Principles and others, through MHPSS coordination.

2 Several resources exist for assessing risk for harm, for example please see: CDA Collaborative Learning Projects (CDA) (2004) The "Do No Harm" Framework for Analysing the Impact of Assistance on Conflict: A Handbook, https://www.cdacollaborative.org/publication/the-do-r harm-framework-for-analyzing-the-impact-of-assistance-on-conflict-a-handbook/

10

### What are the foundations of an effective MHPSS TWG?

MHPSS TWG members and co-chairs have identified several key qualities that lead to better coordination between members



## **CO-CHAIRING** THE TWG: KEY

• Allocated time: Co-chairing a TWG is a full-time position. Those appointed to the role should be prepared to fully allocate their time to the position.

• Accountable to the TWG: While co-chairs may be contracted by an agency, they should be accountable to the TWG.

• Competency and experience: • Localization: Ideally, at least Co-chairing requires a wide range of knowledge and competence in MHPSS. Past experience in MHPSS activities is also key for promoting buyin from the group.

• Operational in nature: While TWGs are ideally broadly inclusive groups, they should be co-chaired by agencies with an operational nature and focus.

• Sharing the role: Optimally, TWGs are facilitated by two co-chairs with equal roles, responsibilities and influence.



**Inclusive & strategic** leadership

> **Reliable &** responsive

**Open-minded** / flexible

Listens and communicates

**Builds relationships** & networks

Accountable

one TWG co-chair is a local staff member.

Linked with the IASC MHPSS RG: TWG co-chairs can seek support from and also contribute to the IASC MHPSS RG and its co-chairs.



Do's for an effective MHPSS TWG	Don'ts
<ul> <li>Promote an open and collaborative environment</li> </ul>	× Dictate or dominate the agenda or act alone
<ul> <li>Be transparent in communications and actions</li> </ul>	× Mislead or misrepresent information or actions
Keep tasks and expectations reasonable	× Commit to unrealistic expectations
<ul> <li>Promote local and national participation and ownership through power sharing and inclusivity</li> </ul>	Exclude or bypass national authorities or actors, or foster dependency on international aid
Emphasize and benefit from the capacity of the group	× Emphasize a single agency or individual agencies
Consult local actors and emphasize community views	× Assume that one knows it all
<ul> <li>Actively engage in cultural awareness and adaptation</li> </ul>	× Assume that global guidance doesn't require adaptation
Remain impartial and focus on collective success	× Serve the interests of only a single agency or person
Plan for the group's long-term sustainability	× Focus only on the immediate or assume sustainability
Be respectful of time and purpose	× Waste time or "meet just to meet"
Ensure good practices and disseminate guidance	× Assume that all humanitarian aid is helpful
<ul> <li>Attend to, correct and learn from practices that inadvertently cause harm</li> </ul>	× Overlook potentially harmful practices
<ul> <li>Promote self-care and well-being of staff</li> </ul>	× Assume that burnout will not be a factor
✓ Allocate adequate time and resources to TWG functions	X Assume TWG responsibilities without reducing workloads (e.g. co-chairs struggling to "double-hat")
<ul> <li>Be creative and willing to try new approaches (informed by evidence and in line with foundational principles)</li> </ul>	× Fail to adapt or innovate in response to new needs or challenges

#### **Collaborative leadership:**<sup>1</sup> bringing different perspectives together to develop a shared approach where co-chairs are expected to fill effort from all stakeholders.

In MHPSS TWGs, facilitating multiple roles and responsibilities collaborative leadership among (i.e. double-hatting). However, TWG members is key. This is this is not a simple process and particularly supportive in settings requires ongoing attention and

<sup>1</sup> For more information on effective leadership, please see: Knox Clarke, P. (2013). Who's in charge here? A literature review on approaches to leadership in humanitarian operations. ALNAP/ODI: London. <u>https://www.alnap.org/help-library/whos-in-charge-here-a-literature-review-of-</u> approaches-to-leadership-in-humanitarian

#### Tips and strategies for building collaborative leadership

relationships.

**responsibilities:** Are expectations What factors help the group work of their own organization? Multiple clear? To whom are the group and well together? Emphasize how or divergent agendas can be a major its members accountable? Ensuring group members benefit from barrier to collaboration. clarity in roles and responsibilities working toward shared goals, promotes collaboration.

• Attend to group dynamics and power relations: Why do organizations join the group? What are the benefits? Understanding these motivations will help identify members understand how decisions encourage participation. group dynamics and motivations.

Be sensitive to group norms: What does the group expect to happen? What are the implied rules? Group norms can greatly O Share decision-making: Do affect the functioning of the group. group members feel a sense of

and working? Remember that all think similarly? Conformity can be from the beginning. collaboration is facilitated through helpful or problematic, depending on the situation.

> including agreed terms of reference (ToRs), workplans or other strategic tasks

decision-making processes: Do all are made? Is information equally accessible to all? Transparency can strengthen trust in group processes and outcomes.

• Build relationships among group • Understand pressures on collective ownership over decisions? members: What are their strengths? individuals to conform: Are group Collaboration is promoted when What about preferences for learning members expected to agree or decision-making power is shared

Instil a focus on the overall response: Do members prioritize • Develop clear roles and • Harness group cohesiveness: the overall response, or the agenda

> Seek input from each stakeholder: Do any members seem excluded, including relevant actors not yet at the table? Does a certain • Be clear and transparent about agency dominate? Be sensitive to those who feel overshadowed and



Understanding when it is important to build consensus around a decision is key to facilitating an effective MHPSS TWG. Consensus brings collective ownership and leads to more active engagement. However, reaching a affect cooperation and relationships.

consensus is complicated and timeconsuming and can be difficult when there is a serious conflict. The IASC Guidelines (2007) can be a starting point for consensus, given their wide inter-agency endorsement. However, in many situations, further consideration will be needed and, in some cases, it may be necessary to proceed without consensus. However, this has the potential to

#### **Consensus is ideal when:**

There are conflicting views, but unity is required Example: Agencies disagree about the workplan		
<b>Collective buy-in is needed</b> <b>Example:</b> Designing a shared workplan		
The way forward is unclear Example: Confronting a unique challenge		
Solutions require collaboration and participation Example: Completing a mapping exercise		
The group is small and members understand one another		

The group is small and members **Example:** The group is cohesive and functions well

#### **POSSIBLE STEPS TO BUILDING CONSENSUS**

Possible Steps	Details	
Agree on the objective(s)	All parties must agree on the goal(s).	<ul> <li>Facilit</li> <li>Identif</li> </ul>
2 Define the problem	Identify the barriers that stand in the way.	• Take a • Facilit
3 Brainstorm solutions	Brainstorming involves creating a list of possible solutions.	• Facilit • Remai
Discuss pros 4 and cons, narrow the list	Evaluate the potential solutions and reduce the list to realistic options.	<ul> <li>Facilit</li> <li>Be tra</li> <li>TIP: If mand to "tak</li> </ul>
5 Adjust and compromise	Compromise will be needed to reach a result that the group can accept. Sometimes, consensus may not be possible when a group is sharply divided, and it may be necessary to temporarily "let go" of trying for consensus.	<ul> <li>Rema</li> <li>Test for</li> <li>TIP: C</li> <li>agree</li> <li>this" v</li> <li>TIP: W</li> <li>when</li> <li>emerge</li> </ul>
6 Decide	Decision-making should be a shared process and should not be dictated. It can follow a standard agreed process (e.g. majority vote).	<ul> <li>Facilit</li> <li>Discus</li> <li>TIP: In it can b multip temper</li> </ul>
7 Act	MHPSS is recognized as a cross-cutting priority for action.	<ul> <li>Event</li> <li>Pre-po</li> <li>Stakel</li> </ul>
8 Monitor & evaluate	Always assess the decision's impact and effectivenes.	<ul><li>Facilit</li><li>Revisit</li></ul>

1 Adapted from The The Global Nutrition Cluster (2013). Nutrition Cluster Handbook: A practical guide for country-level action. <u>https://re-liefweb.int/report/world/nutrition-cluster-handbook-practical-guide-country-level-action</u>

#### Foundational Elements of MHPSS Coordination



#### Consensus may not be necessary when:

- Inter-agency standards are compromised by consensus
- Example: A harmful practice is popular among actors
- The problem is clear and solutions obvious Example: A TWG meeting needs to be cancelled because several agencies cannot attend
- Solutions are very limited Example: Funding is available only for a specific activity
- There is not enough time to reach consensus Example: The situation requires action now
- Another decision-making process is more effective **Example:** Views are so split that consensus is impossible

#### **Role of MHPSS TWG co-chair**

- tate the development of shared goals. ify shared interests that may underlie differing perspectives.
- a flexible, problem-solving approach. tate the identification of problems.
- tate the creation of a list of solutions. ain open-minded and focus on areas or "zones" of agreement.
- tate the identification of pros and cons. ansparent.
- f power dynamics or excessive attention to individual agency dates are a clear barrier, it can be useful to invite colleagues ke off their agency hats" for a short time to problem-solve.
- ain impartial, use active listening skills.
- or agreement by summarizing ideas and asking for a vote. Groups can waste time talking "around" ideas they mostly e on. Check levels of disagreement (i.e. "I cannot agree to vs "I don't like this, but I can go along with it").
- Vhile consensus is important, co-chairs should recognize groups are clearly divided and accept that consensus may ge later.
- tate a shared decision-making process.
- iss the implications.
- n cases where views differ but there is potential for consensus, be useful to extend the decision-making process over ple meetings. Additional time allows ideas to settle and
- ers to cool, while also enabling one-on-one discussions.
- reports. ost surveys.
- holder plans/budgets.
- tate monitoring and evaluation (M&E). it the decision, if necessary.

### **Addressing common challenges**

Despite the variation across and within emergency settings, multiple challenges are common across settings. Potential solutions have been identified in consultation with MHPSS TWG members.

CHALLENGES AND POTENTIAL SOLUTIONS		
Challenge	Potential solution	
MHPSS is not being prioritized	<ul> <li>Clarify and streamline advocacy messages, based on identified needs.</li> <li>Engage TWG members to advocate for MHPSS in their networks of influence.</li> <li>Invite focal persons from other areas of work or coordination groups (e.g. health, education, protection) to join the TWG, and vice versa.</li> <li>Organize a donor or partner briefing or orientation workshop.</li> </ul>	
Multiple MHPSS TWGs exist, without coordination	<ul> <li>Try to understand why there are multiple groups and address these factors where possible (e.g. lack of awareness, lack of political will, differences in approach).</li> <li>Develop a steering committee with equal representation from different working groups to coordinate collective efforts.</li> </ul>	
The number of members limits productivity	<ul> <li>Establish sub-working groups to focus on specific issues and recommend actions to the larger MHPSS TWG.</li> <li>Differentiate between the MHPSS TWG and larger networks of MHPSS actors.</li> <li>Request that agencies delegate a single representative to the TWG.</li> </ul>	
Mental health and psychosocial support are viewed as being separate	<ul> <li>Use the complementary nature of MHPSS to emphasize the need for a single, unified TWG to better coordinate across the layers of MHPSS intervention (see the mental health intervention pyramid on page 9).</li> <li>Work to mainstream the IASC Guidelines and the complementary nature of different MHPSS activities (e.g. the MHPSS intervention pyramid).</li> <li>Identify and engage champions respected by all parties to build unity.</li> <li>Develop sub-working groups focused on improving integration.</li> </ul>	
<ul> <li>Expectations do not match reality</li> <li>Develop ways of working that are tied to practical concrete actions resources.</li> <li>Assign tasks to specific members, with timelines included.</li> </ul>		
Members are not engaged	<ul> <li>Collaborate with partners and invite them to present or lead on preferred subjects.</li> <li>Share responsibility; rotate meeting chairs, venues or the focus of agendas.</li> <li>Discuss lack of engagement openly to identify solutions.</li> </ul>	
Decision-makers are not attending meetings	<ul> <li>Clearly indicate when decisions will need to be made to promote attendance.</li> <li>Set deadlines for decisions to be taken.</li> <li>Require representatives to have the capacity to make decisions for agencies to be represented in the TWG.</li> </ul>	
Difficulty collecting information from TWG members	<ul> <li>Engage one-on-one and build relationships.</li> <li>Make the working group an open space to informally discuss challenges and successes.</li> <li>Where relevant, work across the cluster system with different information management units.</li> </ul>	
Funding is limited or narrowly earmarked	<ul> <li>Identify a set of common goals to inform resource mobilization.</li> <li>Advocate for agencies to include budget lines to support MHPSS TWG activities.</li> </ul>	
No local agency is willing to co-chair	<ul> <li>Ask a local organization to "shadow" the co-chair, and demonstrate the benefit of doing this.</li> <li>Develop a plan to support capacity development and the transfer of responsibilities.</li> </ul>	

14



	동물 사람이 가지 않는 것을 많은 것을 많이 많이 가지 않는 것이다.
Challenge	i
There is competition rather than collaboration	<ul> <li>Develop clear roles and response effectiveness of the collective</li> <li>When necessary, seek support</li> <li>Request technical support and</li> </ul>
Global guidance requires contextualization	<ul> <li>Hold workshops to adapt glo</li> <li>Budget and plan for adaptati</li> </ul>
MHPSS co-chair role is not budgeted as full-time	<ul> <li>Advocate for inter-agency fur</li> <li>Identify challenges involved i managers.</li> </ul>
The group is less cohesive than ideally it could be	<ul> <li>Involve stakeholders from the</li> <li>Take a collaborative leadersh topics.</li> </ul>
Languages used exclude certain groups	<ul> <li>Alternate the languages used</li> <li>Budget for translation and in</li> <li>Develop agendas with space for</li> </ul>
There is a disconnect between national authorities and the MHPSS TWG	<ul> <li>Organize TWG meetings toge offices (e.g. the ministry of he venue if multiple ministries a</li> <li>Engage in bilateral discussion in advance of raising such issues</li> </ul>
Agencies do not see the benefit of the TWG	<ul> <li>Organize participatory evaluation TWG meetings, to find out how the establish a clear plan with agreeded.</li> <li>Sometimes, members do not sharing data). It is essential to contributions by ensuring that</li> <li>Organize quarterly presentation benefits.</li> </ul>
High turnover among TWG representaties	<ul> <li>Develop a resource centre (seorient new members.</li> <li>Encourage agencies to deleg and advocate for delegates b make decisions.</li> </ul>
among TWG	<ul> <li>Develop a resource centre orient new members.</li> <li>Encourage agencies to de and advocate for delegate</li> </ul>

#### **Potential solution**

sponsibilities for agencies that focus on the overall ive response.

port in negotiation from high-level decision-makers. and guidance (e.g. from the IASC MHPSS RG).

global guidance to the local context. ation needs.

funding to support the role and promote neutrality. d in serving in dual roles and advocate with line

the beginning in developing or reshaping the group. rship approach: rotate the chairing role, venues or

sed for meetings. interpretation.

15

e for interpretation and the clarification of terminology.

bgether with representatives from relevant ministry health (MoH)) to build buy-in. Try to alternate the s are involved (depending on local customs). sions with authorities, particularly on sensitive issues, issues with larger groups.

aluations, including stakeholders who do not attend : how the TWG can improve. agreed and concrete objectives; re-examine if

not actually benefit (e.g. from funding, from Il to ensure that members do see returns from their that activities are mutually beneficial. tations of MHPSS TWG achievements to highlight

(see Core Action 2) that includes "mini-briefings" to

egate national staff members to the MHPSS TWG s be appointed to fully represent the agency and

17

### **Negotiation and conflict management**

Sometimes consensus cannot be reached, and conflict occurs. However, if it is approached properly, managing conflict can lead to better coordination.

#### **POSSIBLE STEPS TO NEGOTIATION AND CONFLICT MANAGEMENT<sup>1</sup>**

	Possible steps	Details	Role of MHPSS co-lead/co-chair
	Recognize conflict	Conflict is natural and can be constructive. However, conflict can also be destructive (e.g. name calling, reprisal). Sometimes, it can be obvious (e.g. yelling) and sometimes less clear (passivity, non-attendance).	<ul> <li>Monitor for signs of conflict and identify when management is needed.</li> </ul>
2	Engage stakeholders	Certain members, or their host agencies, may be central to the conflict. <b>TIP:</b> Understanding participants can be a valuable first step. For example, understanding if a person tends to react to disagreement with anger can be key.	<ul> <li>Facilitate the bringing together of parties.</li> <li>TIP: Consider the timing of negotiations problem-solving is not ideal when people are extremely tired, stressed or under pressure.</li> </ul>
3	Focus on core issues and needs	Conflict can lead to a focus on past issues. Focusing on the issue at hand is essential.	<ul><li>Identify the central issues.</li><li>Redirect towards a productive focus.</li></ul>
4	Draw out and consider each perspective	It is important to ensure that each person's view is heard and valued.	<ul> <li>Facilitate the conversation.</li> <li>Encourage the sharing of all viewpoints.</li> </ul>
5	Draw out suggestions for a path forward	Encourage participants to share realistic solutions.	<ul> <li>Invite suggestions.</li> <li>Avoid argument or criticism.</li> </ul>
6	Check for agreement or acceptance	Sometimes not everyone will agree, but they may be willing to accept a solution.	<ul> <li>Check with participants on their levels of agreement, disagreement or acceptance.</li> </ul>
	If agreement canr	not be reached:	
7	Refocus on the goals of the group and on points of consensus (e.g. the IASC Guidelines)	Disagreement can lead to real and negative outcomes for people in need.	<ul> <li>Identify consequences of disagreement.</li> <li>Invite participants to review their goals.</li> </ul>
8	Review areas where there is agreement	Identifying "zones" of agreement can lead to compromise. It can help to identify underlying values that motivate positions, and such discussions may clarify that there is more agreement than originally thought.	<ul> <li>Check if there is room for agreement on smaller issues.</li> <li>Build on consensus.</li> <li>TIP: Consider meeting in small groups or meeting individually to identify what would be needed for a solution to be accepted.</li> </ul>
9	Hold a majority vote	A majority can be used to move forward.	<ul> <li>Facilitate the vote.</li> <li>Remain impartial and express appreciation of willingness to speak.</li> </ul>
10	Act and evaluate	Once a decision is made, evaluate it and revisit negotiations, if needed.	<ul> <li>Facilitate implementation.</li> <li>Monitor for continued conflict.</li> </ul>

Adapted from The Clobal Nutrition Cluster (2013). Nutrition Cluster Handbook: A practical guide for country-level action. https://www.nutritioncluster.net/resources/gnc-handbook-final-gnc-january-2013



#### **Foundational Elements of MHPSS Coordination**



# **CORE ACTIONS OF MHPSS TWGs**

#### There are seven core actions described in this handbook.

They are considered to be generally applicable and to be a high priority across many settings, based on existing guidelines, available evidence and consultation with current and past MHPSS TWG members and co-chairs.<sup>1</sup>

Each core action is split into four key sections:

- **Background:** Why is this important?
- **Possible steps:** What are possible steps?
- **Case studies:** Practical stories from MHPSS actors

**Annex 4** presents a list of indicators for each core action and Annex 5 a checklist of potential core action deliverables.

#### **Navigating the core actions**

The core actions outlined in this section, and the possible steps within them, may not necessarily be implemented in a linear order by every MHPSS TWG or in every situation. Instead, core actions can be prioritized depending on the phase of the emergency, existing needs and capacities and the status of coordination among actors.

1 Each Core action is aligned with the coordination section of the forthcoming MHPSS Minimum Service Package (MHPSS MSP), which outlines a set of costed activities that are considered to be of the highest priority in meeting the needs of emergencyaffected populations, based on existing guidelines, available evidence and expert consensus «Annex 6 for MHPSS MSP Actions arranged by Core Actions).

• Outcomes and indicators: How can this be measured?



### **Core Action 1** (RE)**ESTABLISHING** AND MAINTAINING **A TECHNICAL WORKING GROUP**

Mental Health and Psychosocial Support

### WHY IS THIS FUNCTION **IMPORTANT?**

**Having multiple** disconnected coordination groups focused on MHPSS leads to miscommunication, duplication, inefficient use of resources and problematic gaps.

Instead, it is recommended that a single MHPSS TWG that unites MHPSS actors across sectors (e.g. health, protection, education) be established early in any emergency response to facilitate coordination. Wherever possible, it is recommended that national

more national organization(s) or actor(s) knowledgeable in MHPSS, co-chair the MHPSS TWG and are supported by international organizations, if necessary. 1, 2

The added value of the group depends on the buy-in of its membership and the functioning of collaborative structures. From the outset, it is important that roles and responsibilities, coordination mechanisms and a shared vision for the group are developed and collectively owned. The MHPSS TWG must also be inclusive of the wide range of local, national and international MHPSS actors active in the response (see below and Core Action 3). As discussed in Chapter 2, some countries may already have functioning coordination systems.

**TIPS FOR EMPHASIZING** LOCAL PARTICIPATION IN TWGs

As previously mentioned, this handbook emphasizes community-based approaches to MHPSS, including in **MHPSS** coordination. This includes working with local actors and seeking their active engagement in MHPSS TWGs. However, in settings

Be aware of community dynamics and power structures and avoid reinforcing power imbalances.



Use local, national and international expertise to identify local coordination systems and priorities for the MHPSS TWG.



**Build on local resources**, including individual skills and expertise, social supports and systems and cultural, religious or spiritual resources.

Hold meetings close to operations or provide logistical support, if needed, to ensure access for members who may be less able to travel certain distances or to certain locations.

language.

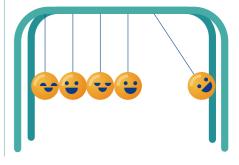
Sphere Project. (2018). Sphere Handbook: Humanitarian Charter and Minimum Standards in Humanitarian Response. https://spherestandards.org/handbook-2018

2 Meeting of the IASC Principals 5 December 2019: Summary Record and Action Points. https://interagencystandingcommittee.org/inter-agency-standing-committee/summary-record-jasc-principals-meeting-5-december-2019 3 For further guidance related to the IASC system, please see: IASC. (2021). Strengthening Participation, Representation and Leadership of Local and National Actors in IASC Humanitarian Coordination Mechanisms



authorities, and potentially one or

These structures naturally take on different forms and focuses, depending on context. In some settings, such forums may be sufficient for facilitating MHPSS coordination. In others, new structures may be necessary. Annex 7 outlines key questions to ask when assessing existing coordination structures and determining their potential for hosting an MHPSS TWG.



where many international organizations are active, or the emergency is large in scale, this can be challenging, particularly when there is conflict between national authorities and local groups. To promote local participation, the following tips may be useful for MHPSS TWGs.

Identify and engage with existing informal structures at the community level that may facilitate coordination.

Hold TWG meetings in local languages, with interpretation made available for agencies or members who do not speak the



Where possible, use existing communication mechanisms to share information (e.g. remote/tele options, visual or audio if literacy rates are low).



Avoid unnecessary jargon, acronyms or terminology in meetings and allow space for questions and clarification to mitigate lack of understanding.

 $\langle \rangle$ 

Pay attention to security or other local conditions that could prevent participation (e.g. cultural limits on acceptability of women's movements).

### WHAT ARE **POSSIBLE STEPS?**

#### **BUILD ON** EXISTING **COORDINATION STRUCTURES**

where they exist and function, rather than developing parallel systems.

**O CO-CHAIRS' ROLE** Identify and link with coordination structures or platforms that already exist and could facilitate MHPSS coordination (see Annex 7).

#### **IDENTIFY AND ENGAGE WITH MHPSS ACTORS**

**Engage with a** wide range of stakeholders to facilitate coordination (see Annex 8 for a list of potential stakeholder roles and responsibilities).

 $\mathcal{O}$  CO-CHAIRS' ROLE Advocate for the added value of an MHPSS TWG, or for joining in MHPSS coordination.

#### **DEVELOP A CONSENSUS-BASED** WAY OF WORKING

Whatever form it takes, it is important to agree on a structure and purpose for the TWG to facilitate understanding of roles, accountabilities, objectives and timelines.

#### **O CO-CHAIRS' ROLE**

Facilitate development and regular review of consensusbased ways of working, such as terms of reference (ToRs) and workplans (see Annex 9 for tips).

#### 

▶ If developed, ToRs must be a tool for coordination and not an objective.

- ► Community participation, including by people with lived experience MUST be actively emphasized in coordination activities, including workplan development.
- ► Ensure that responsibility for tasks is assigned early on. If not. unallocated tasks may fall to co-chairs or be forgotten.

#### DEVELOP PROCESSES FOR ROUTINE COORDINATION

**Develop procedures** and mechanisms for meeting, sharing information and coordinating services from the national to the local level.

#### **O CO-CHAIRS' ROLE**

Agree on meeting times and prepare agendas.

Set up and maintain a mailing list and shared drive of resources.

► Identify and address needs (e.g. accessibility, gender considerations, family responsibilities) in order to support participation.

► Facilitate regular discussions about service coordination across members.

#### **LINK WITH** NATIONAL **STRATEGIES AND PLANS**

**Align with national** plans or strategies to promote sustainability. Where plans do not exist or are outdated. advocate for their development (see Core Action 7).

#### **O CO-CHAIRS' ROLE**

Review relevant national plans and strategies, including national mental health, education, social welfare and other relevant plans.

► Organize MHPSS TWG discussions to orient members and align programming

### **CASE STUDY 1**

with access to certain areas though expertly written and the barriers these present for effectively organizing the response.

An MHPSS TWG had previously been established in Aden, but due to practical and logistical challenges it became inactive 2020, an MHPSS expert with prior experience of facilitating MHPSS TWGs was deployed to reestablish

In Yemen, MHPSS and weeks of re-engaging with one-day brainstorming workshop partners, it became clear that where members identified the previous workplan and ToRs, needs, resources and priorities, all of which facilitated the and developed, were development of a workplan too ambitious and had and revision of the ToRs. become impractical in Since this time, the dealing with the evolving MHPSS TWG has remained situation on the ground. active through regular The members of the coordination meetings and newly reformed MHPSS has begun to carry out its TWG set about revising the workplan, facilitated by a clear for some time after its creation. In documents to emphasize sense of roles among members more practical, and concrete and a direction set out by these and more simplified objectives foundational efforts. and to clearly define roles and the group. In the initial days responsibilities. The group held a

coordination has been challenging due to issues



### HOW CAN THIS FUNCTION BE MEASURED?

#### OUTCOME

A functional MHPSS TWG is established and facilitates better coordination

#### INDICATORS

- > Existence of a functional workplan developed in collaboration with local actors and affected persons
- > % of workplan objectives achieved in specific period (e.g. one year)
- >% of MHPSS TWG members who are local or national actors.

#### **MEANS OF** VERIFICATION

Workplan review Meeting minutes.







**CONVENE AN** 

Introduce kev

them to join.

**INITIAL MEETING** 

stakeholders and create

a shared vision for the

group. Identify actors

not involved and invite

**O CO-CHAIRS' ROLE** 

members and promote

Éngage in informal and

interactive exercises to

build relationships.

Facilitate an open

dialogue among

collaboration.

ΤΙΡ

#### MOBILIZE RESOURCES

Identify resources (e.g. human, financial, technical) among TWG members to support the group's work. Where possible, agencies may also include coordination activities in joint funding proposals.

#### **O CO-CHAIRS' ROLE**

► Engage agencies to identify availabe resources available to support the TWG.

► List collective resource mobilization as a regular agenda item.

Wherever possible, funding for the group should be discussed transparently so that all members are aware of how funds are raised and how they will be spent.



#### REDUCE POWER DIFFERENCES AND **FACILITATE** PARTICIPATION

Work to reduce competition for resources among **TWG members** and instead promote equitable participation.

#### **OCO-CHAIRS' ROLE**

Monitor for power imbalances and negotiate solutions.

#### ͲΙΡ

Ínviting organizations to present on topics of their choice. rotating venues and sharing the co-chairing roles can all promote participation.

**Resources** For additional resources to support implementation of this core action, please see Annex 14.

### WHY IS THIS FUNCTION **IMPORTANT?**

Information management (IM) includes the collection, analysis and dissemination of information to guide decisions. IM supports MHPSS actors in developing a shared understanding of the situation and what is needed in response. **MHPSS IM does not simply** mean performing an activity. such as producing a 4Ws mapping or assessment report. Rather, it is an ongoing process to inform priority setting.

performed will depend upon contextual needs and resources. Ideally, MHPSS TWGs should have the resources and capacity for a dedicated MHPSS IM officer or team with the requisite skill set. However, in reality is that MHPSS TWGs do not often have dedicated IM officers and tend to rely on member agencies or on overburdened and underresourced co-chairs for IM. Therefore, it is crucial that only essential information is collected and managed. Information should also be assessed for quality, rather

A wide range of methods can be used for effective and systematic MHPSS IM. Deciding than assumed to be useful.

"I recall my rapid deployment to Gaza in 2014. There was little time to make decisions and needs were all around. Immediately, I tried to identify MHPSS information from the previous crises, given it was the third in seven years. Before arriving, I spoke with many colleagues. When arriving, I spent initial days meeting stakeholders to understand immediate needs and past lessons. To my dismay, there was little information - no reports, no documentation. Only oral memories remained, useful stories from local stakeholders, but very little was concrete. I spent a lot of time collecting basic information. Eventually, I became the one 'briefing' others who were arriving with the same questions. It was shocking to see how information can be so needed and yet so hard to find. Yet I also realize how simple it is to have a basic resource centre. I would have been SO grateful to have this, and therefore I say this is a vital function in MHPSS coordination." MHPSS expert deployed in Gaza emergency response

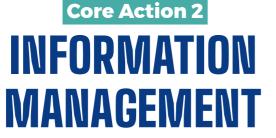
### FOUR COMMON STRATEGIES FOR MHPSS IM



**Conducting a** gap analysis and mapping activities and agencies provides an understanding of who is where in the field, what they are doing and when. This provides information on resources, referral pathways and gaps in response and can help to identify potential MHPSS TWG members. Coordinating

MHPSS needs and resource assessments ensures that MHPSS assessments are not duplicated. maximizes resources and informs collective response. This includes integrating MHPSS in (multi-)sectoral needs assessment and response planning (such as HNOs and HRPs; see Annex 12 for tips).

1 See the https://mhpssmsp.org/en MHPSS MSP Gap Analysis Tool 2 The MHPSS.net emergency toolkit includes a section on MHPSS cultural adaptations and desk reviews. For more information, please visit: https://www.mhpss.net/toolkits/emergency-toolkit 3 MHPSS.net houses several groups for country-level MHPSS TWG and is a useful centre to compile information



**Mental Health and Psychosocial Support** 

24

the scope, what tools to use and | MHPSS.net is a key resource for how frequently activities are MHPSS IM, and regularly publishes emergency briefings in an effort to help fill the information gap.





**Cultural information**, adaptations and desk reviews can help identify literature on pre-existing information relevant to MHPSS. The MHPSS TWG can gather this information in a number of ways, including desk reviews and through in-depth assessments.



**Compiling and** maintaining an MHPSS resource centre or database allows for a "memory" of MHPSS information to be stored and transferred across emergencies and actors. Information can be stored in many formats but is ideally maintained by an MHPSS TWG.

### WHAT ARE **POSSIBLE STEPS?**

#### **STEP 1. DEFINE THE SCOPE OF IM NEEDS**

The information needs and the scope depend on the phase of emergency and the capacities of the group. If possible, it can be helpful for TWGs to designate an agency to lead on IM for the group.

#### **O CO-CHAIRS' ROLE** ► Facilitate discussion to

build consensus on the scope of IM needs.

► Facilitate identification of an MHPSS IM focal point within the TWG. In ideal situations. the TWG should have a dedicated IM officer to liaise with other IM teams.

#### **STEP 2. IDENTIFY EXISTING** INFORMATION

This includes desk reviews and data collected by other sectors. Information on cultural factors is essential at this stage.

#### **O CO-CHAIRS' ROLE**

► Liaise with stakeholders to identify existing information.

▶ Review, or support reviewing, the existing sources of data.

#### STEP 3. **DEVELOP AND**

#### ΜΑΙΝΤΑΙΝ Α RESOURCE CENTRE

Whatever the format. the resource centre should be easily accessed and regularly updated. and should include key operation information (e.g. cultural and contextual information).

#### **O CO-CHAIRS' ROLE**

▶ Support the collation, sharing and regular updating of information held by the resource centre.

▶ In some cases, it may be useful to identify an MHPSS TWG agency that would be willing to manage the resource centre.



#### **STEP 4**. **COORDINATE MAPPING AND ANALYSIS OF GAPS**

The IASC MHPSS RG has produced guidance on 4ws mapping.<sup>1</sup> The MHPSS Minimum Service Package (MSP) also includes a gap analysis tool. However, the scale of the mapping will depend on the situation and on needs. In all cases, these activities must be used to inform and improve service delivery.

#### **O CO-CHAIRS' ROLE**

► Facilitate the identification of roles and responsibilities and the carrying out of the exercise (or supporting the lead agency that conducts it).

► Use mapping/gap analysis results to inform service coordination meetings.

#### 

• Keep it simple: introduce mapping to showcase work, create referral pathways and inform service delivery, not as a bureaucratic exercise.

Data collection requires time. Consider organizing mapping and gap analysis workshops to collect data. In cluster settings, build on cluster IM systems.

► Consider the purpose (e.g. referral pathways, identifying gaps, advocacy) from the outset, to avoid collecting data that is not used.

► Always explain the purpose of the exercise and inform providers that the data will be made public (unless there is a reason of sensitivity or safety for not doing so) to promote transparency and obtain consent.

### HOW CAN THIS FUNCTION BE MEASURED?

#### OUTCOME

response is known and needs and gaps are identified and addressed.

#### INDICATORS

The size and nature of the MHPSS > # of gaps addressed following mapping/gap analysis

>% of needs assessments or workplans integrating MHPSS.

#### **MEANS OF** VERIFICATION

> Mapping and gaps analysis report

> Meeting minutes

> Assessment or workplan reviews.

#### STEP 5. **COORDINATE NEEDS** ASSESSMENTS

Incorporating MHPSS considerations into single or multi-sectoral assessments is key to informing the response and promoting the crosscutting nature of MHPSS. The WHO/UNHCR MHPSS Assessment Toolkit<sup>2</sup> can be used to identify key MHPSS questions (see Annex 10 for further guidance).

#### **O CO-CHAIRS' ROLE**

► Advocate for the inclusion of MHPSS in multi-sectoral needs assessments and work planning.

▶ Support the development of relevant questions for multisector needs assessments.

► Use needs assessments to inform the coordination of service delivery.

#### ΤΙΡ

Avoid asking too many questions: less is always more. ► MHPSS assessment tools will need to be adapted prior to use in any emergency (see Annex 11 for

guidance in the context of PHEs).

#### **STEP 6**. DISSEMINATE **INFORMATION AND LESSONS LEARNED**

This can be in the form of a formal report, a spreadsheet, a website or an online platform, such as through OCHA. Plans should be made to regularly update mapping exercises.

#### **O CO-CHAIRS' ROLE**

► Disseminate results to inform the response and advocate for the inclusion of MHPSS.

#### ΤΙΡ

► 4Ws mapping can create large but unused reports if not disseminated well. Use easily accessible formats (e.g. dashboards) and ideally introduce them from the start.<sup>3</sup>

► Include mapping/gap analyses as a regular agenda item in TWG meetings.

### **CASE STUDY 2 MAPPING AGENCIES** AND ACTORS IN SOUTH SUDAN



coordination structure was formed in South Sudan.

However, the group initially struggled to engage many key stakeholders. To facilitate mapping, a four-day workshop was organized in Juba to bring

of the health cluster, protection cluster, education cluster, child protection sub-cluster and GBV refresher training on MHPSS basic principles and on the 4Ws mapping process. Thereafter, each cluster and its member agencies were invited to a half-day session to complete a 4Ws Excel sheet. Five people who had received in-depth training also presented the results of on mapping were present to assist participants, as well as two computer technicians, and computers were made available for all. In addition, the MHPSS TWG co-chair worked with IM together MHPSS stakeholders. On officers within each cluster to

#### 1 For more information about IASC's Who is Where, When, doing What in MHPSS: 4Ws Tool, please visit: https://interagencystandingcommittee.org/mental-health-and-psychosocial-support-emergency-settings, documents-public/iasc-who-where-when-doing

- 2 For more information, please visit: https://www.unhcr.org/en-us/protection/health/509bb3229/assessing-mental-health-psychosocial-needs-resources.html
- 3 For example, see mapping dashboards from the MHPSS TWG in Ukraine: https://www.humanitarianresne/mental-health-and-psycl





#### **STEP 7.** REGULARLY DISCUSS

**INFORMATION** GATHERING

Making information needs and plans for information gathering a standing item for discussion can support effective IM.

**O CO-CHAIRS' ROLE** ► Facilitate regular discussion of information needs and gathering.

► Use this discussion to update the resource centre and coordinate IM.

day one, all member organizations incorporate MHPSS data that had previously been reported to the cluster. Partners who were not cluster members but who were sub-cluster were invited to a crucial to the MHPSS system in the country were also engaged. After

> data were compiled and analysed, a one-page brief was presented to MHPSS TWG members and clusters with a set of practical key recommendations. The MHPSS TWG co-chair

the exercise at the Inter-Cluster Working Group to facilitate inclusion in the HNO and HRP for South Sudan during that cycle. A larger report was also compiled and published in an online resource centre.

**Resources** For additional resources to support implementation of this core action, please see Annex 14.



# WHY IS THIS FUNCTION IMPORTANT?

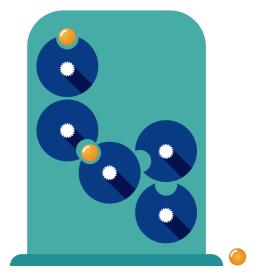
A key role of an MHPSS TWG is to facilitate the coordination of integrated MHPSS activities among local actors (including those not affiliated with a formal group or humanitarian agency), national actors (e.g. community-based organizations (CBOs), government ministries) and international actors (e.g. INCOs, UN agencies) and across and within sectors. Depending on the context, establishing links across sectors and with other stakeholders can be facilitated within the humanitarian programme cycle, within needs assessments, through indicators for reporting and monitoring or via joint activities (e.g. trainings, awareness-raising events). Whatever the approach, establishing these links is vital to ensuring that MHPSS does not "fall through the cracks" between different sectors of humanitarian action.

### Core Action 3 ESTABLISHING LINKS BETWEEN STAKEHOLDERS

Mental Health and Psychosocial Support

28





### WHAT ARE **POSSIBLE STEPS?**

#### **STEP 1. IDENTIFY** RELEVANT **STAKEHOLDERS**

It is crucial that this process is inclusive. In many settings. essential local stakeholders are overlooked or excluded.

**O CO-CHAIRS' ROLE** Consult with local actors to identify key local stakeholders.

···· ΤΙΡ

Keep an open mind and cast a wide net.

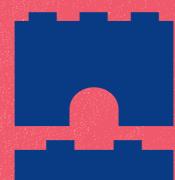
#### STEP 2. **ADDRESS BARRIERS** PREVENTING PARTICIPATION **IN THE TWG**

In some settings, local actors may be hesitant about joining coordination efforts because of language barriers, access barriers or other practical challenges.

**O CO-CHAIRS' ROLE** Identify barriers and brainstorm solutions with all stakeholders.

#### 💬 ΤΙΡ

Ávoid using unnecessary jargon in TWG meetings.



#### STEP 3. **LINK WITH OTHER**

**COORDINATION PLATFORMS** 

In order to coordinate with relevant sectors, cluster coordination groups, government actors and civil society organizations (CSOs), MHPSS TWGs should aim for mutual representation, participation and contribution in all coordination meetings. **O CO-CHAIRS' ROLE** ► Facilitate the

identification of focal points within the TWG to engage with other coordination platforms (e.g. clusters or AoRs).

► Invite stakeholders to join the TWG (e.g. relevant ministries).

► Advocate for the inclusion of MHPSS as a regular item on inter-agency agendas (e.g. the Inter-Cluster Coordination Team (ICCT), where relevant).

#### STEP 4. **HOLD MHPSS** ORIENTATION

SESSIONS Holding sessions to introduce stakeholders to each other's work is key to linking initiatives. Holding orientation sessions on MHPSS (e.g. the IASC (2007) Guidelines) for non-MHPSS actors is also useful for mainstreaming MHPSS within and across sectors.

#### **O CO-CHAIRS' ROLE** Advocate for

and coordinate **MHPSS** orientation sessions (e.g. MHPSS in education for education sector colleagues). ► Facilitate regular

discussion of the projects of TWG members.

#### ⊡ ΤΙΡ

Regular presentations by members on their programmes encourage engagement and facilitate collective understanding

### **STEP 5.**

#### **DEVELOP AND** IMPLEMENT JOINT REFERRAL **PATHWAYS**

**Joint referral pathways** facilitate access to the full range of MHPSS services and additional supports (e.g. protection, health, education, GBV, education, livelihoods).

#### **O CO-CHAIRS' ROLE**

► List referral pathways as a regular item for discussion.

► Where relevant, discuss the development of standard operating procedures (SOPs) for referral, and disseminate these SOPs.

### **CASE STUDY 3** LINKING MHPSS ACROSS AND WITHIN SECTORS IN JORDAN'

In response to the Syrian refugee crisis in Jordan, the existing MHPSS TWG developed a system where new actors responding to the crisis were asked to first visit with the TWG and discuss beginning their activities

and not to begin implementation on information sharing, mapping and their own but in consultation with other activities (e.g. joint training and advocacy). These efforts have created the group. strong links across sectors and In 2012, the MHPSS TWG also issued between partners. For example, joint

a four-page inter-agency document assessments have been conducted that represented "consensus focusing on the role of shelter among the different actors and site planning, camp and provided a coherent management, orientation framework to organizations and access to information. wishing to fund, develop or distribution of water and nonimplement activities in the food items, and approaches field" (MHPSS Working Group, to food and nutrition and Jordan 2012). The document MHPSS. These assessments highlighted important principles of have led to a clear understanding of the group based on the IASC MHPSS how the actions taken by the WASH, Guidelines, defined key terms and shelter, nutrition and other sectors outlined the group's approach may reduce stress, encourage community mobilization and to MHPSS. It also emphasized support, and improve psychological the need for coordination and well-being. based on clear needs assessments, recommended joint assessments,

### HOW CAN THIS FUNCTION BE MEASURED?

#### OUTCOME

**MHPSS** is integrated within the work of relevant stakeholders.

#### INDICATORS

- > # of joint initiatives, activities or workplans integrating MHPSS
- > # of sectors. clusters or AoRs represented in the TWG
- > Establishment of a functioning referral system.

#### **MEANS OF** VERIFICATION

> Activity reports Meeting minutes > Referral records.

I Case study adapted from: IASC (2014). Review of the Implementation of the IASC Guidelines on MHPSS in Emergency Settings: How are we doing? https://interagencystandingcommittee.org/node/9056



#### STEP 6. SUPPORT SECTOR RESPONSE **PLANNING**

As sectors begin to integrate MHPSS within response plans, the MHPSS TWG can provide technical support. In cluster settings, the HPC, including the drafting of HNOs and HRPs, can provided important entry points (see Annex 12 for tips on integrating MHPSS into the HPC). Identifying **MHPSS** indicators (Core Action 5) can also support sectors with the integration of MHPSS and the coordination of service delivery.

**O CO-CHAIRS' ROLE** ► Encourage TWG focal points to advocate for a decision-making role in the response planning of other sectors (e.g. HNO/HRP).

► Ensure regular coordination of service delivery among TWG partner agencies.

#### **STEP 7. ENGAGE IN JOINT ACTIVITIES**

**Joint activities build** relationships and conserve resources. They may include interagency workshops or trainings, advocacy campaigns or other joint response activities. Encourage TWG focal points to advocate for a decisionmaking role in the response planning of other sectors (e.g. HNO/ HRP).

 $\mathcal{O}$  CO-CHAIRS' ROLE ► List joint activities as a regular item for discussion.

Support focal points in advocating for joint planning with sector leads and with government ministries and national counterparts.

**Resources** For additional resources to support implementation of this core action, please see Annex 14.





### **Core Action 4 BUILDING CAPACITY, KNOWLEDGE EXCHANGE** AND PEER SUPPORT

Mental Health and Psychosocial Support

### WHY IS THIS FUNCTION **IMPORTANT?**

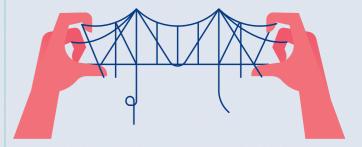
In every humanitarian setting, there are diverse capacities, experiences and professional backgrounds. Some agencies will bring expertise in communitylevel programming, others with specific groups and others with the delivery of specific services. Likewise, local actors. particularly those who are themselves affected by the emergency, will bring crucial and unique knowledge and expertise.

MHPSS TWGs provide a forum to share all of these experiences, skills and knowledge trainings or workshops on a specific topic between members and, importantly, to leverage lifts the group's capacities as a whole, rather individual expertise so that it benefits the than selectively improving the performance of collective response. As a result, MHPSS TWGs | a single agency. Likewise, expanding MHPSS can support agencies working on similar kinds training or workshops to include cluster or of programming to expand their geographical sector partners helps to build positive working reach and cross-sectoral integration and relationships and supports the integration increase quality through joint capacity-building of MHPSS into programming activities, and knowledge exchange. Conducting joint in addition to being more cost-effective.

**CASE STUDY 4** CAPACITY-**BUILDING FOR PSYCHOSOCIAL** WORKERS IN NORTHWEST **SYRIA** 

In northwest Syria, the PSWs, the MHPSS TWG created a MHPSS TWG identified training handbook and package, the need to build capacity which included 14 modules among health workers to provide on topics ranging from basic MHPSS services. psychosocial support, such as

The group developed an approach to care and psychological standardize the roles interventions, all adapted and responsibilities of to the local context. The a new cadre of MHPSS MHPSS TWG began rolling paraprofessionals called out the training package psychosocial workers in 2018 through an initial (PSWs). This standardization Training of Trainers (ToT) and aligned with the creation of series of seven-day trainings. an Essential Package of Health As of June 2021, more than Services (EPHS) for northern 460 PSWs had completed the Syria, which was key to ensuring curriculum and were providing higher-quality MHPSS services. MHPSS services. To support the development of



psychological first aid (PFA), to basic mental health

#### STEP 2. CONDUCT A TRAINING NEEDS AND CAPACITY ASSESSMENT The needs assessment

should be completed by each member of the MHPSS TWG as well as by partners across sectors.

#### **O CO-CHAIRS' ROLE** Identify a focal point

to lead the needs and capacity assessment.

#### 

It is key to assess gaps in capacity in order to address the needs of service users and to link capacity-building to identified gaps.

► A simple survey asking participants to rank priorities for capacitybuilding, identified through discussion, can be very useful.

### WHAT ARE POSSIBLE **STEPS?**



#### **IDENTIFY RESOURCES** FOR CAPACITY-**BUILDING**

**Identify resources** that MHPSS TWG members or cluster or sector partners can provide (e.g. facilitators, finances, venues).

#### **O CO-CHAIRS' ROLE**

List an agenda item for TWG meeting(s) asking members to discuss resources to support trainings.

#### **STEP 4. DEVELOP AND IMPLEMENT** A CAPACITY-**BUILDING PLAN** It is essential to

facilitate trainings based on needs and on available resources in order to maximize impact. A plan that addresses training needs helps to facilitate effective use of resources.

#### **O CO-CHAIRS' ROLE**

► Facilitate the development of a realistic training or workshop plan with input from MHPSS TWG agencies.

Implement the plan in partnership with TWG agencies.

#### 

Remote approaches can greatly reduce costs, where these are feasible.

#### STEP 5. DISSEMINATE INFORMATION

#### **ON TRAINING OR WORKSHOP OPPORTUNITIES**

**Disseminate information** on events organized through the MHPSS TWG or capacity-building offered by different clusters or sectors, national authorities and local resources (e.g. universities).

#### **O CO-CHAIRS' ROLE**

Share information on training or workshop opportunities via the TWG mailing list or monthly newsletters.

#### STEP 6. **ARRANGE FOR APPROPRIATE** SUPERVISION AND **FOLLOW-UP**

**Capacity-building** initiatives are not sustainable without onthe-job training, mentoring and supervision to trainees. One-off trainings are not recommended.

#### **O CO-CHAIRS' ROLE**

Advocate for agencies to include on-the-job training, mentoring and supervision approaches for all capacitybuilding initiatives.

#### **STEP 8.** (OPTIONAL) **CREATE A STANDARD INTER-AGENCY** COMPETENCY

**FRAMEWORK OF MHPSS POSITIONS** In protracted emergency settings, some MHPSS

#### TWGs have developed an inter-agency competence framework for MHPSS workers in that context, which lists MHPSS worker profiles (e.g. community health workers, social workers. activity facilitators. doctors. case managers, etc.), minimum qualifications required, the skills and knowledge

### HOW CAN THIS FUNCTION BE MEASURED?

#### OUTCOME

Ξ

**STEP 1.** 

**OF LIMITED** 

**Discussions should** 

include international

actors, who must learn

about the local cultural

aspects of MHPSS, the

practices and systems

and many other topics

**O CO-CHAIRS' ROLE** 

building as a regular

agenda item for MHPSS

complexities of the

context, indigenous

from local experts.

Include capacity-

TWG meetings.

CAPACITY

**DISCUSS AREAS** 

Humanitarian actors demonstrate increased MHPSS knowledge and capacity.

#### INDICATORS

- > Existence of an MHPSS capacity-building plan with clear indicators
- > # of humanitarian actors oriented on MHPSS guidance and on how to avoid harm (for example, on the IASC **Guidelines on MHPSS in Emergency Settings, the MHPSS MSP, disaggregated** by type of workshop, and by sector/field)

> # of identified capacity gaps addressed via capacity building initiatives.

#### **MEANS OF** VERIFICATION

- Capacity-building plan > Inter-agency training needs assessment reports
- Training or workshop evaluation reports.

**CASE STUDY 5** CAPACITY-**BUILDING AMONG MEMBERS OF THE** AFGHANISTAN



TWG is chaired by a national staff member who facilitates the group in a funded full-time role.

Crucially, the donor also supports the early childhood development and TWG by funding a series of inter-agency mental health care practices for

1 The WHO-UNICEF Ensuring Quality in Psychological Support (EQUIP) platform can be a useful tool for MHPSS workforce development. It includes competency assessment tools, guidance and resources for trainers, e-Learning modules, implementation guidance and other components. https://equipcompetency.org/en-gb





**MHPSS TWG** In Afghanistan, the MHPSS

#### STEP 7. **DEVELOP A** REPOSITORY **OF TRAINING MATERIALS AND/ OR TRAINERS**

**Create a shared drive** containing training materials and a list of trainers as part of the resource centre (Core Action 2).

**O CO-CHAIRS' ROLE** ► Collate training materials and a roster of trainers.

▶ Encourage TWG members to share their training materials with one another.

(competencies) needed and the training packages recommended to build such competencies.

 $\mathcal{O}$  CO-CHAIRS ROLE ► Organize a workshop with MHPSS TWG members (ideally facilitated by an impartial consultant) to populate the competency framework, based on

agency standards and national guidelines. Advocate for agencies and donors to use the framework.

Keep the requirements realistic and aligned with national laws or policies (e.g. labour laws) and with the local context and mandate.

and prioritization exercise. Topics identified in the needs assessment were included in a successful funding proposal in 2019. Thereafter, a series of face-to-face trainings were held throughout 2020 and

included life skills for children and youth, basic and advanced counselling for adults, the IASC MHPSS Guidelines, MHPSS assessments, M&E of MHPSS programmes, MHPSS referrals, and

MHPSS-related trainings that are open caregivers of infants. Trainings and to MHPSS TWG members and actors workshops were facilitated by agencies from clusters or sectors. To facilitate with a specialization in the identified these trainings, the MHPSS TWG topic and through a DSS MHPSS expert developed an inter-agency capacity- deployment. The project also included building plan based on an inter- peer learning across organizations, agency training needs assessment whereby agencies (including the

Mental Health Directorate of the Ministry of Health) visit MHPSS TWG member projects and complete knowledge exchange peer visits. These visits are organized through, and are reported back to, the MHPSS

2021, freely open to MHPSS TWG TWG at monthly meetings. The visits members and other agencies. Topics support the oversight responsibility of the Mental Health Directorate and help agencies to link up to broader initiatives, such as the National Mental Health Strategy and the "Strategy to support Women, Children, Disabled Persons and Martyrs from the Conflict".

> **Resources** For additional resources to support implementation of this core action, please see Annex 14.



# **Core Action 5 MONITORING AND EVALUATION**

Mental Health and Psychosocial Support

36

# WHY IS THIS FUNCTION IMPORTANT?

The field of MHPSS is advancing rapidly, with MHPSS activities now forming part of many humanitarian responses.

However, there is often wide<br/>variation in the quality and<br/>consistency of these activities.<br/>Additionally, many agencies<br/>struggle to document their work,<br/>which can lead to difficultiesdemonstrating collect<br/>and promoting e<br/>quality. Therefore, a<br/>MHPSS TWGs is to e<br/>M&E of MHPSS activity<br/>response as a whole.

in demonstrating the value of MHPSS activities and ensuring accountability to affected populations. Monitoring and evaluation (M&E) is part of good humanitarian practice and addresses these issues by demonstrating collective impact and promoting enhanced quality. Therefore, a key role of MHPSS TWGs is to ensure the M&E of MHPSS activities and the response as a whole.

THE IASC COMMON MONITORING AND EVALUATION FRAMEWORK FOR MHPSS PROGRAMMES IN EMERGENCY SETTINGS'

An M&E framework for MHPSS programming should

be developed as part of the initial programme design. Where MHPSS is being incorporated into existing programme activities, M&E plans should be updated to include MHPSS components. The IASC Common Monitoring and Evaluation Framework for MHPSS **Programmes in Emergency** Settings: With means of verification (Version 2.0) is a useful resource that can quide individual agencies in the M&E of their MHPSS programmes, including the selection of agreed goals, outcomes, outputs, indicators



1 IASC (2021). IASC Common Monitoring and Evaluation Framework for MHPSS Programmes in Emergency Settings: With means of verification (Version 2.0). <a href="https://interagencystandingcommittee.org/iasc-reference-group-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergencysettings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-em



and means of verification (MoV). It can also be used at an inter-agency level between **MHPSS TWG members** providing similar types of programming. By carrying out quality M&E, MHPSS TWG members can contribute to the global evidence base for MHPSS approaches in different contexts while also establishing mechanisms to inform and listen to affected communities, address their feedback and take corrective action so that MHPSS actors remain accountable to the affected people they intend to support.



#### STEP 1. ORIENT AGENCIES **ON THE IASC COMMON M&E FRAMEWORK** FOR MHPSS

Jointly funded or organized orientation workshops on the IASC Common M&E **Framework can** support agencies in designing M&E plans and promoting a collective approach to assessing the response.

**O CO-CHAIRS' ROLE** Advocate with donors and MHPSS **TWG members for** funding for interagency orientation workshops.

#### 💬 TIP

Workshop facilitators may be available from the surge support mechanisms, if there is limited in-country expertise.

#### STEP 2. **ALIGN AND IMPLEMENT M&E APPROACHES**

**Aligning M&E** approaches for similar areas of work (e.g. case management services, children's clubs, mental health in primary care) can improve quality, support collaboration and build evidence.

Be sure to partner with affected persons (e.g. people living with disabilities, people living with mental health conditions) in developing M&E

approaches to ensure that they are culturally relevant, inclusive and accessible.

**O CO-CHAIRS ROLE** Facilitate the process of developing agreed approaches to M&E among TWG members, where possible.

#### 💬 ΤΙΡ

what are

POSSIBLE

**STEPS?** 

• This could happen in an annual one-day workshop or during regular MHPSS TWG meetings under an M&E theme.

Because actors have varying reporting requirements, advocacy to align M&E requirements by donors is also essential.

### HOW CAN THIS FUNCTION BE MEASURED?

#### OUTCOME

Improved monitoring and evaluation of MHPSS programming.

#### INDICATORS

- > % of affected people reporting active involvement in monitoring and evaluation of **MHPSS** programming
- >% of MHPSS TWG members reporting M&E of MHPSS programmes.

#### **MEANS OF** VERIFICATION

- > Participatory evaluations Survey or interviews of MHPSS **TWG members**
- > Annual review and revision of TWG workplan and strategy, based on M&E data and feedback from affected populations
- Workplan review workshop report.

#### **STEP 3. IDENTIFY MHPSS INDICATORS AND MOV FOR EACH**

SECTOR **Developing a list of** this sort can support sectors to integrate **MHPSS into response** planning and ultimately into their

response activities.

#### **O CO-CHAIRS' ROLE** ► Collaborate with sector focal points to

identify 2-3 suggested indicators and MoVs for each sector.

Support focal points to advocate with sectors to integrate these indicators into response plans.

#### 💬 ΤΙΡ

This step should ideally occur during the HPC or regular response planning so that the indicators can be included in funding proposals and strategic plans.

#### STEP 4. **SHARE**

**INFORMATION ON LESSONS LEARNED** 

**Information sharing** is key to raising the quality of **MHPSS** services and promoting accountability to affected persons.

**O CO-CHAIRS' ROLE** Facilitate regular M&E information sharing during MHPSS TWG meetings.

### **CASE STUDY 6 INTER-AGENCY** WORKSHOPS ON MHPSS M&E

Joint inter-agency orientation workshops on the IASC M&E Common Framework have taken place in Afghanistan, the Caribbean, Cox's

Bazar in Bangladesh, Irag, Sri Lanka, Syria, Turkey and Ukraine.

The workshops have provided agencies with the opportunity to learn about the framework and most importantly to apply its goals and outcomes and the relevant data collection tools to their ongoing projects and use them for future programme design. Some workshops have also included sessions focusing on adaptation of the framework's elements (e.g. goals, outcomes,

1 For more information on operationalizing accountability to affected populations, please see: IASC. Accountability to Affected Populations (AAP): A brief overview. https://interagencystandingcommittee.org/system/file asc aap psea 2 pager for hc.pdf





#### STEP 5.

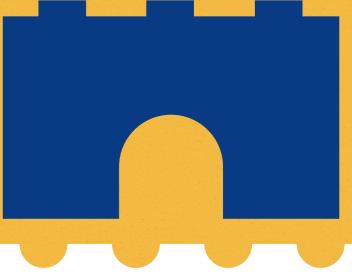
#### **USE M&E TO IMPROVE** PROGRAMMING

**M&E data collected** should be used to inform and improve the response and to hold humanitarian actors accountable. **MHPSS TWGs should** be used as transparent forums to discuss data and identify solutions to strengthen

services, fill gaps and address issues identified by the people affected.

**O CO-CHAIRS' ROLE Facilitate dedicated** MHPSS TWG meeting sessions or workshops focused on improving services based on M&E data.

Workshops can be organized around key areas of work.



indicators) to the local context and sharing it across sectors or clusters. For instance, in 2016 the South Sudan MHPSS TWG developed MHPSS indicators and



shared them with priority clusters, including health, protection (including AoRs), camp coordination and camp management and nutrition. These indicators were eventually used as

part of the Humanitarian Response Plan for that year and were adapted and used further in subsequent programme cycles.



**Resources** For additional resources to support implementation of this core action, please see Annex 14.

### WHY IS THIS FUNCTION **IMPORTANT?**



**Despite the challenges, humanitarian** emergences also present significant opportunities to build back better.<sup>1</sup>

Many emergencies draw attention to MHPSS, often for the first time in affected areas, through media coverage, policy-maker awareness and donor interest. Thus, the actions taken during the immediate and medium-term response to emergencies can either support or limit the potential to create sustainable and durable systems. Therefore, a key consideration of an MHPSS TWG, and an outcome of better MHPSS coordination, is long-term sustainability. However, during crises, rapid and pragmatic solutions can seem like the only options, even if they are not sustainable in the long term. Even in settings

### **CASE STUDY 7** BUILDING **SUSTAINABLE** MENTAL HEALTH SYSTEMS: 15 YEARS **OF PROGRESS**

In 2004, Sri Lanka was devastated by the worst natural disaster ever recorded in the country's history. Prior to the tsunami, the country had endured three decades of civil war.

Mental health and psychosocial well-being had not been a priority in the country, but in massive international attention led to an influx of resources and actors focusing on MHPSS. However, their capacities varied

and activities were at times consistent with the national mental health policy, a National inefficient and in some cases potentially harmful. Sri Lanka's Mental Health Advisory Council head of state recognized the (NMHAC), chaired by the Secretary challenges, and the importance of of the MoH and including MHPSS, early on, and established representatives from other a presidential taskforce to relevant ministries, professional coordinate the response. From bodies, UN agencies, NGOs the outset, stakeholders also and service users' and carers' took a long-term view. The MoH organizations, was formed to and WHO collaborated oversee implementation of with the Sri Lanka College the mental health policy. In 2015, revisions of the policy of Psychiatrists and relevant national mental health began and in 2020 a final professionals to develop policy was completed. As of a 10-year (2005-2015) 2021, the number of districts with acute inpatient units in National Mental Health Policy, which was approved just general hospitals had increased 10 months after the tsunami from 10 in 2005 to 25. Outreach and served as a coordinating clinics now exist in almost all guide. The policy emphasized health divisions of the country, community-based systems and representing a total of 291 clinics services and also placed an offering community-based emphasis on national leadership mental health care. Building on and local professionals. Over time, these successes, MHPSS was also the aftermath of the tsunami MHPSS stakeholders worked recently included in the "COVID-19 together to ensure that the Sri Lanka Strategic Preparedness immediate response actions also & Response Plan 2021". effectively helped to reform the mental health system. In 2008,

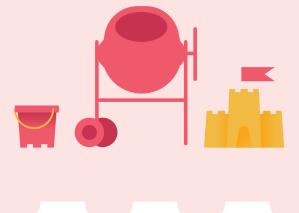
1 For in-depth discussion and case examples of building back better mental health systems in emergencies, please see: WHO (2014). Building back better: sustainable mental health care after emergencies. https://apps.who.int/liris/bitstream/handle/10665/85377/9789241564571\_eng.pdf?sequence=1



Mental Health and Psychosocial Support



where strong leadership, collaboration or support exists, securing long-term commitments for MHPSS after the emergency phase can be challenging. Still, in any setting, sustainability can be enhanced when it is identified as a foundation of the approach, from the beginning, and considered throughout.





#### STEP 1. **IDENTIFY AND BUILD ON LOCAL RESOURCES AND** CAPACITIES

MHPSS TWGs should work to link the activities of the group with local systems. resources and capacities. Where new systems or services are established by international actors, the TWG can serve as a forum to discuss issues around their sustainability and to plan for transition and handover from very early on.

#### **OCO-CHAIRS' ROLE** ► Advocate with MHPSS TWG members to ensure that programming builds local capacities, supports self-help and strengthens local resources.

► Where international actors are involved. initiate regular discussion of localization, including planning for transition and handover from early on.

▶ If the TWG is co-chaired by a representative of an international agency, identify a local counterpart as co-chair, ideally early in the emergency.

#### ⊡ ΤΙΡ

Úse a "shadowing" approach in settings where international actors are co-chairing and local actors are hesitant to co-chair in order to build capacity.

#### STEP 2. **ALIGN WITH**

#### NATIONAL **POLICIES OR** PLANS

**MHPSS TWGs should** align their work with relevant national policies or plans (e.g. mental health. education, social welfare). In some cases, they may also support the development or strengthening of these where they do not exist or are outdated. Where this is not possible, developing consensusbased MHPSS standards can be an alternative (e.g. see the MHPSS MSP).

 $\mathcal{O}$  CO-CHAIRS' ROLE List alignment with national policies and plans as a regular agenda item and monitor for opportunities to support the strengthening of these.

► Where plans do not exist, engage stakeholders to support their development, or the development of minimum standards for MHPSS.

### WHAT ARE POSSIBLE **STEPS?**

#### STEP 3. **PLAN FOR** LONG-TERM SUSTAINABILITY

**MHPSS TWGs should** aim to develop MHPSS systems that address broad needs, from the community to the tertiary level. In the long term, this can include support for preservice training and other development activities. The TWG can play a key role in collectively advocating for resources to support sustainable system-building, rather than short-term projects.

**O CO-CHAIRS' ROLE** ► Facilitate reqular review of service sustainability among MHPSS TWG members (see Annex 13 sustainability checklist).

Develop a subworking group to focus on sustainable resource mobilization.

#### 

Work to bring together emergency and development actors and donors to support sustainability. Response activities can demonstrate a proof of concept to advocate for longer-term funding and support.

#### STEP 4.

**ADVOCATE FOR THE INCLUSION OF MHPSS IN NATIONAL** FINANCING **SYSTEMS** 

**Advocating for MHPSS** components within national financing systems, such as health financing (e.g. including mental health services as

part of a national basic package of health services) is crucial to long-term funding.

#### **O CO-CHAIRS' ROLE** Engage in or support advocacy with key stakeholders (e.g. ministries of health, finance, social services, education, emergency response).<sup>1</sup> ► Consider organizing

a workshop on cases for investment in MHPSS services.<sup>2</sup>

### **CASE STUDY 8** BUILDING **SUSTAINABLE** MENTAL HEALTH SYSTEMS IN **LEBANON DURING** AND AFTER HUMANITARIAN **CRISES**<sup>®</sup>

influx of displaced persons, leaving many and emphasized a shift in focus towards systems and services overwhelmed, a community-based model. Additionally, including an already underfunded the NMHP established an MHPSS Task mental health system. In Force (an MHPSS TWG), co-chaired response, the Ministry of Public by the MoPH. WHO and UNICEF. to Health (MoPH) partnered with coordinate the MHPSS response to many stakeholders, including the Syrian refugee and other crises. WHO, UNICEF and International The group now includes over 60 Medical Corps, to launch responding partners and aims to the National Mental Health harmonize the MHPSS response, Programme (NHMP), a collaboration with sustainability in mind. To do this, it aimed at national mental health reform establishes a yearly action plan to address key challenges. For instance, in 2016, the and building sustainable systems to address the challenges posed by the strengthening of national and intercurrent emergency and beyond. In 2015, sectoral referral systems was prioritized because of the NMHP and through a and led to the establishment of strong widely inclusive process involving key links between MHPSS, protection, shelter stakeholders in the country, the Mental and other sector partners. The MHPSS Health and Substance Use Strategy for Task Force has also played a key role in coordinating responses to the COVID-19 Lebanon 2015-2020 was created. This guiding strategy was key to ensuring pandemic and the emergency caused that programmes and services were by the explosion in Beirut's port in 2020.

1 For further guidance on advocating for MHPSS financing with ministries, please see: Global Mental Health Action Network (2021). Demonstrating the Case for Mental Health Investment to Finance Ministers A Guide for Campaigners and Advocates. https://unitedgmh.org/sites/default/files/2021-04/How%20To%20Guide %20 Urging%20Ministries%20to%20Invest%20in%20Mental%20Health.pdf 2 For further guidance on national cases for investment in mental health, please see: WHO (2021). Mental health investment cases: a guidance note. https://www.who.int/publications/i/item/9789240019386 3 Adapted from: MHIN. Humanitarian crisis and mental health reform in Lebanon. https://www.mhinnovation. net/innovations/humanitarian-crisis-and-mental-health-reform-lebanon?qt-content\_innovation=0#qt-content\_ innovation

### OUTCOME

**The MHPSS response leads** to sustainable systems and services.

#### **INDICATORS**

>% target communities where local people report being actively supported to design, organize and implement MHPSS activities themselves

HOW CAN THIS FUNCTION BE MEASURED?

> Evaluation of sustainability of the MHPSS response

Regular review of localization, transition and handover (where international actors are involved).

1 Tools for monitoring and evaluating progress in response localization are growing in availability. For example, see: NEAR (2021). Localisation performance measurement framework. https://reliefweb.int/report/world/localisation-performance-measurement-framework



#### **MEANS OF** VERIFICATION

**Participatory evaluation** Sustainability checklist (see

Annex 13)

Localization assessment

Lebanon has experienced a long history of political unrest and conflict. It is also home to several large refugee communities fleeing



#### STEP 5. **PROMOTE CARE AND** SUPPORT FOR STAFF **AND VOLUNTEERS**

Promoting the mental health and well-being of staff and volunteers is crucial to sustainability. TWGs can promote both self-care and organizational supports to staff and volunteers within each agency.

**O CO-CHAIRS' ROLE** ► Facilitate self-care within the TWG (e.g. retreats, discussions on self-care).

Develop a sub-group to identify and promote strategies for staff care.

**TIP** Be proactive about deadline and timing requests to TWG members to reduce deadline fatigue, and prepare for intense work periods.

adversity in neighbouring countries. As the current emergency has progressed in Syria, Lebanon has received a massive aligned from the outset, and contributed to a longer-term vision that guaranteed universal access, including for refugees,

#### Resources

**For additional** resources to support implementation of this core action. please see Annex 14.



Mental Health and Psychosocial Support



### WHY IS THIS FUNCTION **IMPORTANT?**

**MHPSS advocacy** refers to actions and messages intended to influence decisionmakers, donors and other stakeholders to consider and prioritize the MHPSS needs of affected persons.

Awareness-raising also falls within the broader scope of MHPSS advocacy and can include efforts to increase knowledge of MHPSS, including the mental health and psychosocial impacts of emergencies, reducing stigma and increasing awareness of effective (and also harmful) ways of coping.

Advocacy is a crucial function of an MHPSS TWG. However, the exact approach and the nature of these activities can vary across settings, depending on the cultural context,

forum, intended outcomes and specifically assessed and prioritized issues. In some settings, key stakeholders and decision-makers may take actions or positions that limit the ability to engage in MHPSS advocacy, and MHPSS actors may find themselves in complex and challenging situations, questioning how to respond. A key consideration is to approach all MHPSS advocacy with humanitarian principles in mind.

A number of tools and packages have been developed that are useful for MHPSS advocacy, including for specific MHPSS topics and areas of work.



### **POSSIBLE STEPS? STEP 1.**

#### **ASSESS AND** PRIORITIZE **ADVOCACY NEEDS**

**Key issues for** advocacy should be prioritized according to local needs and challenges.

**OCO-CHAIRS' ROLE** ► Facilitate the development of an MHPSS advocacy plan for the TWG.

List advocacy needs as a regular item in MHPSS TWG meeting agendas.

Using needs assessments, identify priorities for advocacy or awarenessraising.

### STEP 2.

#### **DEVELOP AND DELIVER KEY MHPSS ADVOCACY MESSAGES**<sup>1</sup>

Having an agreed plan and a shared set of messages ensures consistency. supports partners and increases collaboration. MHPSS TWGs can include advocacy activities as budgeted items in their workplans.

WHAT ARE

#### **O CO-CHAIRS' ROLE**

► Facilitate the development of key MHPSS messages.

► Advocate for MHPSS in larger coordination meetings (e.g. cluster/ AoR meetings, ICCT meetings) and with relevant stakeholders.

Find local leaders and champions (who may or may not be MHPSS experts) to better deliver the chosen messages.



#### STEP 3. DISSEMINATE **INFORMATION ON MHPSS SERVICES**

The development and dissemination of information, education and communication (IEC) materials, including MHPSS-related information, can be coordinated within the MHPSS TWG. Materials should be appropriate to the context and the affected populations, and should take account of culture, literacy and access to technology.

#### **O CO-CHAIRS' ROLE** Facilitate the dissemination of IEC materials, in line with the TWG's advocacy plan.

💬 ΤΙΡ

Radio, drama, round table discussions. newsletters and other formats can be useful for awarenessraising activities and can increase reach.

### HOW CAN THIS FUNCTION BE MEASURED?

#### OUTCOME

MHPSS is recognized as a cross- > # MHPSS awareness-raising cutting priority for action.

#### **INDICATORS**

activities completed

- Adapted set of key MHPSS messages developed
- > # and types of key response plans, strategies (e.g. national plans, Humanitarian **Response Plans, multisectoral** strategies) or calls for funding that include references to MHPSS.

#### **MEANS OF** VERIFICATION

- > Event reports
- Pre and post surveys on MHPSS knowledge, attitudes and feedback
- > Annual analysis of calls for proposals, budgets and response plans (e.g. during **HNO and HRP cycles; see** Annex 12).

1 IASC Reference Group on MHPSS (2011). Advocacy Package: IASC Guidelines on Mental Health and Psychosocial Support in Emergency Settings. 2011. https://interagencystandingcommittee.org/system/files/1304936629-UNICEF-Advocacy-april29-Enghlish.pdf

#### STEP 4. **CONDUCT MHPSS BRIEFING SESSIONS**

**MHPSS briefing sessions** can influence different humanitarian actors to recognize the value of MHPSS. Likewise. briefing partners on the MHPSS needs arising from the emergency, the response, and the gaps and challenges can be critical to increasing support.

#### **O CO-CHAIRS' ROLE**

Link with donors and key stakeholders to coordinate MHPSS briefings.

► Coordinate orientation sessions with interested agencies or sectors (see Annex 14).

Developing briefs with key messages can be a useful method for dissemination.

### **CASE STUDY 9 RAISING AWARENFSS** IN CARIBBEAN **ISLAND COUNTRIES**

severely affected by Category operating procedures (SOPs) events were not entirely unique but emergencies. represented the frequent natural countries face.

During the emergency response, traditional roles among Caribbean and knowledge of MHPSS, a lack of community support and local actors.

with four objectives: 1) capacity- around help-seeking. This campaign building; 2) communication consisted of public service and awareness-raising; 3) announcements, radio and monitoring and evaluation; social media messages and and 4) country-specific testimonials and illustrated In 2017, many Caribbean development of realistic comic strips depicting how Island countries were plans, including standard to provide basic psychosocial support, such as PFA, for friends, 5 hurricanes Irma and Maria. These for decision-making in response to family members and neighbours. In November 2021, ministers and hazards that many Caribbean A major goal of communication and national government authorities awareness-raising was to address the from the Americas region adopted a influence of mental health stigma and declaration on the implemention of the Sendai Framework for Disaster MHPSS needs were often unmet, communities. To address these issues, Risk Reduction (2015-2030). MHPSS even though some countries did actors implemented a campaign to was included in the declaration in have MHPSS response plans in place, raise awareness on MHPSS issues para 24: "Promoting mental health The problem was that, while plans within communities regularly and psychosocial wellbeing by were comprehensive and properly affected by hurricanes, including strengthening psychosocial responses constructed, their implementation many rural island communities, where and support mechanisms in disaster was limited by a lack of awareness emergency response relies heavily on risk reduction and recovery planning."

**Resources** For additional resources to support implementation of this core action, please see Annex 14.



#### STEP 5.

SUPPORT **TOPIC-SPECIFIC ADVOCACY OR AWARENESS** RAISING

**MHPSS TWGs can also** support advocacy and awarenessraising for relevant topics through joint activities, campaigns or sessions and in partnership with existing community groups and other stakeholders.

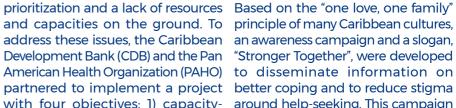
**O CO-CHAIRS' ROLE** ► Facilitate identifcation of the central issues for advocacy among TWG members.

Support planning for advocacy activities through the MHPSS TWG workplan.

#### 

Úse global events, such as World Mental Health Day (10 October), for joint activities. The TWG can support coordination of these activities.

address these issues, the Caribbean American Health Organization (PAHO)







#### **Annex1** Brief overview of common coordination structures

#### National coordination mechanisms

manages preparedness and response principles.\* and coordinates actors, including various government ministries. As mandated response agencies in Nations General Assembly Resolution organisation and delivery of the auxiliary roles to governments, Red 46/182 created the Inter-Agency international humanitarian response Cross and Red Crescent (RCRC) National Standing Committee (IASC) as the at the country level, coordinated Societies are also always active in highest-level coordination forum in through clusters, and overseen by the national coordination mechanisms the UN system.<sup>5</sup> where they operate. However, these



models of coordination can vary greatly. Collaboration between international actors and government-led coordination models can present both challenges and opportunities. Governments and external actors may be less familiar with one another, including each other's goals and mandates, and may struggle to align priorities; they may hold differing attitudes or ideas about coordination or may face competition between political and humanitarian imperatives. However, at its best, government leadership in coordination strengthens accountability, emphasizes national autonomy and establishes a sustainable link between response and long-term development.<sup>2</sup>

#### **Cluster coordination<sup>3</sup>**

The cluster approach is the current basis of the international humanitarian response coordination system and emphasizes sectoralbased approaches, organized around

the humanitarian programme cycle. clusters encourage equal, accountable A "sector" refers to a discrete technical and democratic partnership among In many settings, countries with pre- area of work (e.g. education, health, members and facilitate similarly existing and prepared structures, logistics). The implementation of the focused national NGOs, Government policies and systems lead the cluster approach strives to improve line ministries, international NGOs, coordination of emergency response, coordination through grouping Red Cross and Red Crescent National and external actors and other systems, humanitarian organizations, both Societies and UN agencies coordinate such as the cluster system, may be less UN and non-UN, according to these assessments, identify gaps and solve active. Many of these same countries over-arching sectors of humanitarian issues. Ideally, clusters support and have also begun to emphasize action, while formalizing the complement national response preparedness and disaster risk reduction responsibilities of agencies tasked mechanisms, rather than create parallel (DRR), establishing coordination with leading each cluster. Clusters ones. Within the Protection Cluster, structures prior to emergencies are activated when 1) response and there are Areas of Responsibility (AoRs; and bridging the humanitarian and coordination gaps exist due to major sometimes referred to as "sub-clusters", development nexus. In these contexts, changes in or deterioration of the focused on specific areas of work (the UN agencies and INGOs" often take a situation and 2) existing national Global Protection Cluster has four supporting role and a national disaster response or coordination capacity is specialized AoRs: Child Protection: management agency (NDMA; or risk unable to meet needs in a manner Gender-Based Violence; Housing, management (NDRMA)) or similar body that aligns with humanitarian Land and Property; and Mine Action).

reform, the Humanitarian Reform, who oversees global emergency introduced the Cluster Approach. response.<sup>6</sup> The goal was to support governments in strengthening the coordination **5** Inter-Cluster Coordination Group of external assistance in emergency (ICCG): The ICCG (sometimes referred response (except refugee settings) in to as the "Inter-Cluster" or "Inter-Sector" partnership with the UN Office for Group) is a collaborative forum between the Coordination of Humanitarian sectors/clusters that reports to the Affairs (OCHA).

Clusters: The IASC established 11 reducing duplication. clusters at the global level, each of which may or may not be activated by the IASC in an emergency according (CLAs): CLAs are IASC-mandated to the needs of the situation. Ideally, agencies that take responsibility

Humanitarian coordinator 1 In December 1991, the United (HC): The HC is responsible for the Humanitarian Country Team (HCT) and under the overall authority of the In 2005, a major reorganization Emergency Relief Coordinator (ERC)

HCT and works to ensure coordination across clusters while aligning goals and

**6** Cluster (or AoR) lead agencies





AoRs also have lead agencies. Where to coordination at the national level. based on their capacity, operational meaning that where there are critical filling them themselves.

behalf of the cluster.

the sector and active in the cluster.

#### **Refugee and "mixed setting"** coordination<sup>7</sup>

accountabilities).

involve both internally displaced SPRP 2021, Coordination, planning, persons (IDPs) and refugees in the financing and monitoring, advocates same area. These are often referred to for countries to establish multias "mixed" settings. In these situations, sectoral coordination mechanisms UNHCR, the host government and the to facilitate coordination, engage Humanitarian Coordinator (appointed in information sharing and develop by OCHA) determine which inter- national response plans. agency coordination mechanism is most appropriate. If there are refugee Area-based coordination<sup>10</sup> camps or a concentration of refugees Area-based coordination organizes in one area, then a separate refugee efforts around local contexts and coordination model led by the host existing structures and systems, government may be developed and while emphasizing a locally driven UNHCR may co-lead. The number response. These approaches are of sectors activated depends on driven by sub-national entities or discussions between UNHCR and the actors, such as local actors, mayors, governmental authorities and can vary municipalities or governorates/ greatly across contexts.

#### **Coordination in public health** emergencies

response is organized around strategic agenda of the Grand Bargain, an pillars (e.g. risk communication, agreement across many donor case management, vaccination). and aid organizations that aims A functional PHE management to put more means into the hands programme and an emergency of people in need and improve

humanitarian action. While area-In WHO's Mental Health Atlas 2020. Member States reported for the first time on programmes integrating MHPSS and preparedness or disaster risk reduction. indicating growing attention and effort in this area. In total, 28% of Member States reported that such programmes were in place in their country. 2 For further discussion of the opportunities and challenges of government coordination and leadership, please see Section 3.4.2 in: Knox-Clarke P and Campbell L. Exploring Coordination in Humanitarian Clusters. 2015. ALNAP Study: London. https://www.alnap.org/ help-library/exploring-coordination-inhumanitarian-clusters **3** For more on the cluster approach, please visit: https://www. humanitarianresponse.info/en/aboutclusters/what-is-the-cluster-approach 4 For more information on cluster activation, please visit: https://www. humanitarianresponse.info/en/ coordination/clusters/activation-anddeactivation-clusters 5 For more information on the IASC, please visit: https:// interagencystandingcommittee.org/ 6 For more information about the various actors involved in the cluster system, please see: https:// www.humanitarianresponse.info/en/ coordination/clusters/who-does-what

for facilitating clusters in-country. operations centre (EOC) are both key the effectiveness and efficiency of possible, these agencies work in co- The International Health Regulations based approaches take different leadership with, or in support of. (IHR: 2005) provide a regulatory forms, three defining principles government actors. They are selected framework for management of have been identified. These are: 1) PHEs at national, regional and the programmes are organized and presence and ability to scale up. They global levels. The IHR require that targeted geographically, recognizing also agree to be "provider of last resort", State Parties (national governments) differing contexts within individual develop, strengthen and maintain crises; 2) they are multi-sectoral and gaps, cluster leads are responsible for their capacity to respond to public multi-disciplinary, rather than being calling on partners to address them, or health risks. Under the IHR, countries grouped into individual sectors or are also required to notify the WHO clusters; and 3) they are designed of all events that may constitute through local participation and Cluster coordinator: The cluster a PHE of international concern, at ownership. coordinator is the individual tasked which time international systems for with facilitating individual cluster coordination and response may be activities in-country and working on activated. Each country signatory to the IHR is also required to establish a national IHR focal point, to develop <sup>8</sup> Cluster members: Cluster a national emergency plan that sets members are agencies working in out clear protocols and to strengthen and maintain their surveillance and response capabilities. Where they exist, these structures are an entry point for engagement with local In refugee settings, the United Nations authorities. However, the COVID-19 High Commissioner for Refugees pandemic has had a considerable (UNHCR) is mandated to coordinate impact on how PHE response assistance, provide protection, obtain is coordinated. At a global level, durable solutions and advocate for the the COVID-19 response has been rights of refugees and stateless persons. coordinated through many new Refugee response coordination is mechanisms, tools and platforms.<sup>®</sup> To similar to cluster coordination, but support national-level coordination, it is typically structured according to the COVID-19 Strategic Preparedness sectors (i.e. discrete technical areas) and Response Plans for 2020 and rather than formal clusters (i.e. official 2021 were developed, along with the groups with lead agencies and formal accompanying operational planning guidelines,<sup>9</sup> which set out objectives and actions arranged around 10 pillars Many humanitarian contexts globally of COVID-19 response. Pillar one of the

provinces, and place external international actors in the role of working with and through existing systems. Area-based coordination Public health emergency (PHE) aligns well with the localization



7 For more on refugee and mixed setting coordination, please visit: https:// emergency.unhcr.org/entry/38270/ refugee-coordination-model-rcm

8 For example, please visit the WHO COVID-19 Partners Platform for Health in Emergencies, available at: https:// covid19partnersplatform.who.int/en/

9 For more information, please visit: https://www.who.int/publications/i/item/ draft-operational-planning-guidance-forun-country-teams

10 For more information on area-based coordination. please visit: https:// reliefweb.int/sites/reliefweb.int/files/ resources/inclusive-coordinationkonvndvk-saez-worden.pdf

11 Parker E and Maynard V. Humanitarian **Response to Urban Crises: a Review of** Area-Based Approaches. IIED Working Paper. London: IIED; 2015. http://pubs.iied. org/10742IIED.html?k=maynard&r=p

#### Annex 2 MHPSS across PHE response pillars

#### **Annex 3** Resources for integrating MHPSS across sectors

	PHE pillar	Examples of MHPSS activities
	Coordination, planning, financing and monitoring	<ul> <li>Establish a functioning multi-sectoral mental health and psychosocial support (MHPSS) technical working group.</li> <li>Establish an MHPSS strategy that addresses fear, stigma and negative coping mechanisms and builds on community supports.</li> <li>Establish monitoring, evaluation, accountability and learning mechanisms to measure the effectiveness of MHPSS activities.</li> </ul>
	Risk communication and community engagement	<ul> <li>Include messages on coping with stress and access to self-help and MHPSS services in risk communication and community engagement.</li> <li>Facilitate community dialogues to promote community reintegration and avoid stigmatization of survivors.</li> </ul>
P	Surveillance, epidemiological investigation and contact tracing	<ul> <li>Strengthen capacities of all frontline workers (e.g. health workers, burial team members) to provide basic psychosocial skills and supportive communication, including during case detection and patient isolation/management/referral.</li> <li>Include culturally specific MHPSS issues, needs and available resources in surveillance and risk assessment systems and activities.</li> </ul>
$\bigcirc$	Points of entry, international travel and transport, mass gatherings	<ul> <li>Disseminate information at points of entry, in transportation and at mass gatherings by providing materials (posters, videos) that increase 1) awareness of the PHE; 2) safe practices; 3) positive coping strategies to promote mental health and well-being.</li> <li>Make MHPSS services available for transportation workers affected by protective measures (e.g. seafarers prevented from taking shore leave).</li> </ul>
8	Laboratories and diagnostics	<ul> <li>Make MHPSS services available for all laboratory workers and staff.</li> <li>Develop a system to identify people with mental health conditions and link to evidence-based care in each health facility.</li> </ul>
0	Infection prevention and control, water, sanitation and hygiene	<ul> <li>Facilitate communication between patients in isolation or quarantine and family members through organized visits or telephone contact.</li> <li>Wherever possible, ensure that children remain with their caregivers and are cared for in child-friendly spaces, considering their specific needs.</li> </ul>
ଷ	Case management, clinical operations and therapeutics	<ul> <li>Ensure that MHPSS is made available for all persons exposed or infected as well as health workers, regardless of location or unit of care.</li> <li>Provide basic MHPSS for all persons who have recovered following exposure and support their reintegration into families and communities.</li> </ul>
2	Operational support and logistics	<ul> <li>Include mapping (e.g. 4Ws) of available MHPSS services and resources in operational support and logistics (OSL) planning and assessment.</li> </ul>
d£b	Maintaining essential health services & systems	<ul> <li>Include MHPSS services in country-specific lists of essential services and within mechanisms to govern essential health service delivery.</li> <li>Adapt existing MHPSS services and operations to maintain access during PHEs in line with infection prevention and control measures.<sup>1</sup></li> <li>Assess and monitor ongoing availability and access to MHPSS services to identify gaps and revise disrupted referral pathways.</li> </ul>
	Vaccination	<ul> <li>Provide basic psychosocial support as part of vaccination procedures and to persons experiencing adverse effects following vaccination.</li> </ul>
	Safe and dignified funeral rites	<ul> <li>Support communities to engage in safe and dignified funeral practices while ensuring infection control.</li> <li>Train teams responsible for carrying out safe and dignified funeral rites, burial practices and decontamination in the provision of basic psychosocial support.</li> </ul>

I For examples of guidance for the COVID-19 pandemic, please see: Operational Considerations for Multisectorial MHPSS Programmes during the COVID-19 Pandemic. IASC. 2020 <u>https://interagencystandingcommittee.org/iasc-reference-group-mental-health-and-psychosocial-supportemergency-settings/iasc-guidance-operational-considerations-multisectoral-mental-health-and-psychosocial-support</u>

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Multi-s resour	sector rces	<ul> <li>IASC (2007). IASC Guideling many languages.</li> <li>MHPSS.net Emergencies To</li> <li>WHO, UNICEF, UNHCR &amp; U in English, Spanish and Ukr</li> </ul>
Educa	tion	<ul> <li>ECW (2021). Technical Guid and Protracted Crises. Avail</li> <li>INEE (2019). Psychosocial S Module. Available in Arabic</li> <li>MHPSS.net (2021). MHPSS a</li> </ul>
Nutriti	ion	<ul> <li>Action Contre la Faim (2013 and Mental Health into Nu</li> <li>Inter-Agency Working Grou Emergencies (IFE) (2017). In Operational Guidance for E Version 3.0. Available in ma</li> </ul>
🕏 Health	1	<ul> <li>IASC (2010). MHPSS in Eme <u>Available here.</u></li> <li>Sphere (2018). Sphere Hance</li> <li>WHO (2022). Introducing M emergencies. <u>Available here</u></li> </ul>
Protec	tion	<ul> <li>IASC (2010). MHPSS in Emerican know? <u>Available here</u>.</li> <li>Global Protection Cluster (2 action to improve mental h by conflict, violence and dis <u>Available here</u>.</li> </ul>
Child Protec	tion	<ul> <li>Alliance for Child Protectio Minimum Standards and A</li> <li>Child Protection AoR. Rem workers delivering commu Available in English, French</li> </ul>
Mine A	Action	<ul> <li>Anti-Personnel Mine Ban C Survivors in Disarmament,</li> <li>Anti-Personnel Mine Ban C Reporting. <u>Available here.</u></li> <li>Humanity and Inclusion. H factsheet: Topic 3. <u>Available</u></li> </ul>
Gende Violen	er-Based Ice	<ul> <li>GBV AoR (2019). Inter-Agen in Emergencies Programm</li> <li>GBV Guidelines Reference support survivors of gendel your area. A step-by-step P 2.0). Available in many lang</li> <li>UN Women, UNFPA, WHO, for Women and Cirls Subje Services for Women and Cirl</li> </ul>
Shelte	r/CCCM	<ul> <li>IASC (2010). MHPSS in Eme and camp management ad CCCM Cluster (2015). Camp</li> <li>Webb S and Weinstein She Brookes University &amp; CARE</li> </ul>
WASH		<ul> <li>IASC (2007). IASC Guideline Available in many language</li> </ul>



#### Resources

nes on MHPSS in Emergency Settings. Available in

Toolkit Version 2.0. <u>Available here.</u> JNFPA. MHPSS Minimum Services Package. <u>Available</u> <u>rainian</u>.

dance Note on MHPSS in Education in Emergencies ilable here.

Support: Social and Emotional Learning Training c, <u>English, French, Portuguese, Spanish</u>. and Education in Emergencies Toolkit. <u>Available here.</u>

13). Manual for the Integration of Child Care Practices utrition Programmes. <u>Available here.</u>

up on Infant and Young Child Feeding in nfant and Young Child Feeding in Emergencies: Emergency Relief Staff and Programme Managers any languages.

ergency Settings: What should health actors know?

dbook: Health Standard. <u>Available in many languages.</u> Iental Health and Psychosocial Support (MHPSS) in <u>'e.</u>

ergency Settings: What should protection managers

(2020). MHPSS and protection outcomes: Why joint health and psychosocial wellbeing of people affected isasters should be a priority for all protection actors.

on in Humanitarian Action (2019). Child Protection Associated Resources. <u>Available here.</u>

note training video series: Orientation of frontline unity based mental health and psychosocial support. <u>1</u>, <u>Spanish</u>.

Convention (2011). Assisting Landmine and Other ERW Disability and Development. <u>Available here</u> Convention (2016). Guidance on Victim Assistance

low to implement victim assistance obligations <u>e in many languages.</u>

ncy Minimum Standards for Gender-Based Violence ning. <u>Available here.</u>

Group & Gender-Based Violence AoR (2018). How to er-based violence when a GBV actor is not available in Pocket Guide for humanitarian practitioners (version guages.

, UNDP & UNODC (2015). Essential Services Package ect to Violence. UN Joint Programme on Essential irls Subject to Violence. <u>Available here.</u>

ergency Settings: What should camp coordination actors know? <u>Available here.</u>

p Management Toolkit. <u>Available here.</u> effield E (2021). Mindful Sheltering. Oxford: Oxford International UK. <u>Available here.</u>

nes on MHPSS in Emergency Settings: Action sheet 11.1. <u>es.</u>

### **Annex 5** Checklist of Core Actions deliverables

### Overall goal: reduced suffering and improved mental health and psychosocial well-being through better MHPSS coordination

Core Action	Outcome	Indicators	Means of verification
1. (Re) establishing and maintaining a functioning TWG	A functional MHPSS TWG is established and facilitates better coordination	<ul> <li>Existence of a functional workplan developed in collaboration with local actors and affected persons</li> <li>% of workplan objectives achieved in specific period (e.g. one year)</li> <li>% of MHPSS TWG members who are local or national actors</li> </ul>	<ul><li>Workplan review</li><li>Meeting minutes</li></ul>
<b>2.</b> Information management	The size and nature of the MHPSS response is known and needs and gaps are identified	<ul> <li># of gaps addressed following mapping/ gaps analysis</li> <li>% of needs assessments or workplans integrating MHPSS</li> </ul>	<ul> <li>Mapping and gaps analysis report</li> <li>Assessment or workplan reviews</li> </ul>
<b>3.</b> Establishing links between stakeholders	MHPSS is integrated within the work of relevant clusters, sectors and partners	<ul> <li># of joint initiatives or activities</li> <li>Establishment of a functioning referral system</li> <li># of sectors and AoRs represented in MHPSS TWG meetings</li> </ul>	<ul> <li>Activity reports</li> <li>Referral records</li> <li>Meeting minutes</li> </ul>
<b>4.</b> Building capacity, knowledge exchange and peer support	Humanitarian actors demonstrate increased MHPSS knowledge, skills and capacities	<ul> <li>Existence of MHPSS TWG capacity-building plan with clear indicators</li> <li># of humanitarian actors oriented on MHPSS guidance and on how to avoid harm (e.g. on the IASC Guidelines on Mental Health and Psychosocial Support in Emergency Settings, the MHPSS MSP, disaggregated by type of workshop, and by sector/field of work)</li> <li># of identified capacity gaps addressed via capacity-building initiatives</li> </ul>	<ul> <li>Capacity-building plan</li> <li>Inter-agency training needs assessment reports</li> <li>Training or workshop evaluation reports</li> </ul>
<b>5.</b> Ensuring monitoring and evaluation (M&E)	Improved monitoring and evaluation of impacts of MHPSS programming	<ul> <li>% of affected people reporting active involvement in monitoring and evaluation of MHPSS programming</li> <li>% of MHPSS TWG members reporting M&amp;E of MHPSS programmes</li> <li>Annual review and revision of TWG workplan and strategy, based on M&amp;E data and feedback from affected populations</li> </ul>	<ul> <li>Participatory evaluations</li> <li>Survey or interviews of MHPSS TWG members</li> <li>Workplan review workshop report</li> </ul>
<b>6.</b> Promoting long-term sus- tainability	The MHPSS response leads to sustainable systems and services	<ul> <li>% target communities where local people report being actively supported to design, organize and implement MHPSS activities themselves</li> <li>Existence of transition/handover plans (if external actors involved)</li> <li>Regular review of localization, transition and handover (where international actors are involved)</li> </ul>	<ul> <li>Participatory evaluation</li> <li>Localization assessment</li> <li>Sustainability checklist (Annex 13)</li> </ul>
<b>7.</b> MHPSS advocacy	MHPSS is recognized as a cross-cutting priority for action	<ul> <li># MHPSS awareness-raising activities completed</li> <li>Adapted set of key MHPSS messages developed</li> <li># and types of key response plans, strategies (e.g. national plans, humanitarian response plans, multisectoral strategies) or calls for funding that include references to MHPSS</li> </ul>	<ul> <li>Event reports</li> <li>Pre and post surveys</li> <li>Stakeholder plans/ budgets</li> </ul>

54

	Core Action	
1	(Re)establishing and maintaining a functioning TWG	<ul> <li>Consensus-based ways</li> <li>Workplan</li> <li>Coordination procedure</li> </ul>
2	Information management	<ul> <li>Desk review of existing in</li> <li>4Ws mapping (and plan</li> <li>List of MHPSS assessment</li> <li>Resource centre</li> </ul>
3	Establishing links between stakeholders	<ul> <li>List of MHPSS TWG foca</li> <li>Partners brief on referra</li> <li>Agendas for MHPSS orio</li> </ul>
4	Building capacity, knowledge exchange and skills transfer	<ul> <li>Inter-agency training ne</li> <li>Training/workshop plan</li> <li>Repository of training ne</li> </ul>
5	Monitoring and evaluation	<ul> <li>Inter-agency monitorin</li> <li>Common set of indicate</li> </ul>
6	Promoting long- term sustainability	<ul> <li>Transition/handover pla</li> <li>MHPSS minimum stand</li> <li>MHPSS sustainability ch</li> </ul>
7	MHPSS advocacy	<ul> <li>Key MHPSS messages a</li> <li>Distribution channels for</li> <li>MHPSS briefing session</li> </ul>

vays of working, such as ToRs
dures and mechanisms
ing information plan for regular updating)/gaps analysis ssment questions
focal points for each sector ferral pathways and procedures 5 orientation sessions
ng needs assessment plan ng materials/trainers
oring and evaluation workshop icators and means of verification
r plan (if applicable) standards ty checklist (see Annex 13)
ges and IEC materials els for messages (e.g. newsletters) isions

Key deliverables

### **Annex 6** MHPSS Minimum Service Package (MSP) actions arranged by handbook Core Actions

The following table maps the Core Actions of this handbook against the core activities outlined in the Coordination section of the WHO, UNICEF, UNHCR and UNFPA MHPSS Minimum Service Package (MHPSS MSP), which describes a minimum set of actions to coordinate MHPSS activities, as well as additional activities for consideration, for agencies implementing the MHPSS MSP. For these agencies, the following table may be useful in understanding how this handbook is aligned with the content of the MHPSS MSP.

Core Action	MHPSS MSP section 1.1: coordinate MHPSS within and across sectors
(Re)	✓ Facilitate coordination between different actors to avoid duplication, address obstacles and fill gaps in the response based on the MHPSS MSP and relevant assessments.
establishing and maintaining	✓ Meet with government and humanitarian actors to <b>establish MHPSS-specific roles and responsibilities in the emergency response</b> (e.g. government, INGOs, NGOs, CBOs and other key partners in Health, Protection, Education, Nutrition, CCCM and other sectors).
a functioning	Establish (further) sub-national MHPSS Working Groups if needed.
working group	Establish multi-disciplinary taskforces to work on urgent context-specific issues that are not being addressed elsewhere (e.g. addressing an upsurge in suicide, MHPSS for children associated with armed forces and groups, perinatal mental health, etc.
Information	Establish a single cross-sectoral MHPSS Technical Working Group (TWG) and, if required, sub- national TWGs. <sup>1</sup>
	✓ Support <b>MHPSS needs assessments</b> and the inclusion of MHPSS in other needs assessments and ongoing monitoring by relevant sectors to inform response planning (e.g. Humanitarian Needs Overviews, Humanitarian and Refugee Response Plans).
	Conduct and distribute a comprehensive mapping of MHPSS actors, services and activities (e.g. 4Ws MHPSS service mapping, MSP gap analyses). Review gaps in services at regular intervals to inform planning.
management	Regularly share information among humanitarian MHPSS and other actors (e.g. assessment reports, service directories and collated information in designated groups on MHPSS.net).
	Develop, strengthen, update and implement joint <b>referral pathways</b> to facilitate access to the full range of MHPSS services and activities and to additional support (e.g. Protection including Child Protection (CP) and GBV, Health, Education, Livelihoods and community-based support) as needed (e.g. a directory of services and referral information, common referral forms and pathways, standard operating procedures (SOPs)).
Establishing links between stakeholders	✓ Coordinate with all relevant sectors, clusters or coordination groups (e.g. Health, Education, CCCM, Nutrition, Protection, including AORs (e.g. CP, GBV, Mine Action, Housing, Land and Property (HLP) AoRs, and the disabilities TWG), with civil society (e.g. CBOs, CSOs) and with government actors (e.g. ministries of health, social welfare, education). This includes ensuring mutual representation, participation and contribution at coordination meetings.
Building capacity	Disseminate and adapt MHPSS guidance (e.g. IASC resources, the MHPSS MSP) and conduct rapid orientations on this guidance for agencies funding, planning or implementing MHPSS activities.
Monitoring and evaluation	Support <b>information management</b> and the reporting of MHPSS activities and indicators. This includes defining MHPSS M&E indicators for humanitarian information systems (e.g. inter-agency/ cluster reporting systems) and orienting agencies on how to use these systems.
Promoting long-term sustainabi- lity	Support the development of <b>sustainable mental health, social care and education systems</b> as part of early recovery planning and during protracted crises. <b>Link</b> MHPSS emergency activities with <b>comprehensive and complementary development activities</b> in coordination with donors and government actors (e.g. supporting long-term planning with government and national actors centrally involved, workforce development activities, demonstration projects showing system reform across a geographical area).
	<ul> <li>Advocate for the inclusion of MHPSS in funding and resource allocations (e.g. targeting donors, funding mechanisms).</li> <li>Advocate for MHPSS considerations for adults and children in different sectors and by</li> </ul>
MHPSS advocacy	different actors (e.g. delivering humanitarian aid in a way that reduces distress and promotes dignity, including MHPSS in referral pathways developed by other sectors).
	Make MHPSS a recurring agenda item at inter-agency meetings (e.g. Inter-Cluster Coordination Group (ICCG) and UN country meetings, cluster coordination and multi-sector refugee coordination meetings) and for ups to belo ensure an inter-sectoral response and support for MHPSS priorities.

Core Action	MHPSS MSP section 1.1: A
(Re)establishing and maintaining a functioning working group	<ul> <li>Establish (further) sub-national MI</li> <li>Establish multidisciplinary taskfor are not being addressed elsewhere ( children associated with armed force)</li> </ul>
Establish links between partners	Develop joint workplans for MHP collaboration in activities within and
Capacity- building	<ul> <li>Orient cluster coordination groups, coordinators on MHPSS to identify h outcomes and how MHPSS activities to identify opportunities for effective</li> <li>Develop and maintain a register of basic psychosocial skills, WHO's Men Intervention Guide (mhGAP-HIG), p learning (SEL), positive parenting page</li> </ul>
Promoting long-term sustainability	<ul> <li>Advocate for local and <b>national pol</b> practice guidelines for MHPSS, and su ting or strengthening services.</li> <li>Support the building of <b>national-I</b> by supporting or developing sustaina and civil society stakeholders.</li> </ul>

	Key consi	derations in analysing exis
	Key consideration: Is there a forum or plat- form that can support MHPSS coordination?	<ul> <li>What is the structure and</li> <li>Who are the members and</li> <li>Does it have capacity to su Can it be adapted for this</li> <li>Are the members and lead</li> </ul>
	Key consideration: Does the structure fit the needs of the emergency?	<ul> <li>How have previous emerg</li> <li>How large is this emergen</li> <li>Are all stakeholders equal</li> <li>Is power shared equally ar</li> <li>Where are partners in this</li> <li>Are there gaps in coverage</li> </ul>
	Key consideration: What role do the national authorities play, or plan to play, in MHPSS or coordinating MHPSS?	<ul> <li>Is there a national disaster agency? Is MHPSS include</li> <li>Is MHPSS part of national</li> <li>Does the national authorit MHPSS TWG?</li> <li>How do the different sector disaster management, etc.</li> </ul>

To avoid fragmentation and duplication, it is important that only one MHPSS coordination group is operational. Where separate coordination groups exist (e.g. a mental health coordination group and a psychosocial support coordination group), they should be merged into one overarching group to coordinate the MHPSS response as a whole. See the IASC (2007) Guidelines on MHPSS in Emergency Settings, the IASC Principals Decision of 5 December 2019, the Sphere Handbook 2018 and the MHPSS and Protection Outcomes (Global Protection Cluster, 2020).
 Adapted from The Global Nutrition Cluster. Nutrition Cluster Handbook (2013).



meetings) and forums to help ensure an inter-sectoral response and support for MHPSS priorities.

#### Additional activities for consideration

#### MHPSS TWGs if needed.

**forces** to work on urgent context-specific issues that e (e.g. addressing an upsurge in suicide, MHPSS for rces and groups, perinatal mental health, etc.).

**HPSS** within MHPSS TWGs, linking and supporting and across sectors and organizations.

**bs**, multi-sector refugee coordination groups and **AOR** whow their respective sectors contribute to MHPSS ies can contribute to outcomes in other sectors, and ive integrated programming.

r **of national expert trainers** for MHPSS curricula (e.g. ental Health Gap Action Programme Humanitarian psychological interventions, social and emotional backages).

support government actors in designing, implemen-

**al-level capacity** for the continuation of coordination nable coordination structures, including government

#### nation structures<sup>2</sup>

#### xisting coordination structures

nd function?

and who provides leadership?

support MHPSS cross-sectoral coordination? nis purpose?

eadership open to this?

ergencies been addressed?

ency and is this forum commensurate with that?

ually represented?

among all stakeholders?

his mechanism located or active?

age?

ter management (or disaster risk management) Ided as part of its mandate?

al strategic preparedness and response strategies? ority have interest in or the capacity to facilitate an

ectors (education, health, protection, social services, etc. work together?

### Annex 8 Potential stakeholder roles and responsibilities

	Stakeholder	Potential roles and responsibilities
÷	Affected populations	<ul> <li>Taking a leading role in identifying local needs, risks and capacities and collaborating with governmental and non-governmental actors to inform, design, implement and evaluate the MHPSS response.</li> <li>Raising awareness of local mental health and psychosocial wellbeing issues, barriers to accessing support and reducing stigma.</li> <li>Providing feedback about MHPSS activities and services that are part of the response, particularly the crucial cultural considerations that must be taken into account.</li> </ul>
	Local and national government	<ul> <li>Committing to the development and leadership of a national cross-sectoral MHPSS TWG and/or sub-national working groups, where necessary.</li> <li>Actively participating in MHPSS TWG meetings and playing a key role in carrying out tasks in TWG workplans and ToRs.</li> <li>Allocating necessary funding, resources and institutional supports to implement MHPSS coordination.</li> </ul>
6	National and local DRR platforms and disaster management agencies <sup>16</sup>	<ul> <li>Integrating mental health and psychosocial support into relevant policy, planning and coordination platforms.</li> <li>Ensuring that MHPSS actors and agencies are actively involved in all aspects of DRM.</li> </ul>
	Ministries of health, education, welfare or social services, and finance	<ul> <li>Engaging in advocacy for MHPSS both inside and outside of their sector and across other sectors.</li> <li>Designating a focal point (or unit) for MHPSS to coordinate with larger response efforts, other agencies and actors, ministries, civil society and the private sector.</li> </ul>
<del>000</del>	Community-based organizations (CBOs)	<ul> <li>Advocating for, supporting and participating in MHPSS response strategies and planning.</li> <li>Creating enabling environments for particularly at-risk groups and empowering them to take a leading role in informing and participating in the MHPSS response.</li> </ul>
3	Organizations for persons living with disabilities	<ul> <li>Empowering persons living with disabilities to actively engage in informing the MHPSS response and coordination across agencies.</li> <li>Establishing strong linkages with governmental and non- governmental actors and leading advocacy for policies and approaches inclusive of persons with mental and intellectual disabilities.</li> </ul>
<b>(+)</b>	Mental health service user organizations	<ul> <li>Empowering service users to actively engage in informing the MHPSS response and coordination across agencies.</li> <li>Establishing strong linkages with governmental and non-governmental actors and leading advocacy for policies and approaches inclusive of persons with mental health conditions.</li> </ul>
600	Youth groups and civil society organizations (CSOs)	<ul> <li>Advocating for community commitment, policy and action at multiple levels.</li> <li>Engaging actively as leaders in identifying local risks and planning for and implementing MHPSS in sectoral and multisectoral response plans.</li> </ul>
	Agencies working in sectors (or clusters) with direct impact on MHPSS	<ul> <li>Actively engaging in and supporting the MHPSS TWG.</li> <li>Ensuring consideration and integration of MHPSS within and across sectors.</li> </ul>

Stakeholder	Potential roles
Humanitarian coordination agencies and structures (e.g. OCHA, ICCG)	<ul> <li>Develop bi-directional relasing support coordination and relation funding opportunities.</li> <li>Ensuring consideration an across sectors.</li> </ul>
Donors and financing agencies	<ul> <li>Identifying and responding nancial resources.</li> <li>Promoting ethical and quali strong benchmarks within g</li> <li>Providing constructive feed sing financial support for p</li> </ul>
Private sector	<ul> <li>Engaging in efforts to mob</li> <li>Sharing knowledge, expert innovation for advancing the</li> </ul>
International agencies and organizations	<ul> <li>Actively engaging in and su</li> <li>Providing financial, technic MHPSS efforts through ca implementation support.</li> </ul>
Academic and research institutions	<ul> <li>Providing support for obta programme development.</li> <li>Supporting contextualization ting of MHPSS activities.</li> </ul>
Media agencies and journalists	<ul> <li>Providing responsible mechanisms</li> <li>line with best practice reconnected of the importance of preparing DRR and mental health</li> </ul>
Military and peacekeeping forces	<ul> <li>Supporting peacebuilding MHPSS TWG, to enable con</li> <li>Coordination with armed necessary in complex emer possible, be undertaken th protocols. Any interaction r must serve the primary p suffering and assuring pro combatants affected by the</li> </ul>

16 National disaster management agencies (NDMAs) and the functions typically handled by them can be found within a variety of government ministries, agencies and offices, depending on the country. NDMAs or related functions are most commonly part of the civil protection agency, national DRR agency, environmental protection agency, ministry of internal affairs, ministry of planning and development or office of the prime minister.
 17 Kawamoto K (2005). Best Practices in Trauma Reporting: Ideas and insights from award-winning newspaper articles. Dart Center for Journalism & Trauma. <a href="https://dartcenter.org/sites/default/files/da\_best\_practices\_0\_l.pdf">https://dartcenter.org/sites/default/files/da\_best\_practices\_0\_l.pdf</a>



#### Potential roles and responsibilities

directional relationships with the MHPSS TWG to ordination and make the group aware of upcoming portunities.

onsideration and integration of MHPSS within and ors.

and responding to areas of MHPSS with limited fipurces.

ethical and quality delivery of MHPSS services through chmarks within grantees' projects and programmes. onstructive feedback for the MHPSS TWG on accesal support for programme planning and operation.

n efforts to mobilize and raise awareness of MHPSS. owledge, expertise and resources and encouraging for advancing the MHPSS response.

gaging in and supporting the MHPSS TWG. nancial, technical and human resources support to orts through capacity development, guidance and

upport for obtaining funding and evidence-based e development.

contextualization through local adaptation and tes-PSS activities.

esponsible media coverage of distressing events in st practice recommendations and raising awareness ortance of preparing for emergencies and investing mental health and well-being.<sup>17</sup>

peacebuilding activities, in coordination with the G, to enable conflict-sensitive MHPSS programming. on with armed or peacekeeping forces may be n complex emergency situations and should, where e undertaken through established communication any interaction must respect humanitarian law and the primary purposes of relieving humanitarian and assuring protection and assistance for all nons affected by the situation.

#### **Annex 9** Tips for MHPSS TWG terms of reference and workplans

<b>Tips for building ToRs and workplans</b> <b>Goal:</b> Engage partners and build consensus			
Process of development	a Start from an existing draft (e.g. other TWG ToRs; see Annex 14 Core Action 1).	<ul> <li>Keep it simple and functional: ToRs and workplans are the agenda of the group.</li> </ul>	
	b Co-chairs adapt to country context and share with TWG.	<ul> <li>Base these on locally identified priorities through active engagement with stakeholders.</li> </ul>	
	c Group members participate in the revision process.	<ul> <li>Developing ToRs should take a few weeks at the most: too much time kills the process.</li> </ul>	
	d Feedback discussed at TWG meeting(s) until consensus is achieved.	<ul> <li>Make sure that the ToRs and workplans are realistic and relevant; schedule a regular review to keep them up to date.</li> </ul>	

Examples of workplan activities	Example ToR outline
<ul> <li>Assess needs, human resources and services by mapping (e.g. 4Ws).</li> <li>Coordinate programme planning and implementation.</li> <li>Integrate MHPSS in response plans.</li> <li>Review mental health laws and policies.</li> <li>Plan for broad capacity-building activities.</li> <li>Advocate for funding.</li> </ul>	<ul> <li>Background.</li> <li>Definition of MHPSS.</li> <li>Guiding principles.</li> <li>Scope and objectives.</li> <li>Membership, roles and responsibilities.</li> <li>Key functions and general activities.</li> <li>Assessment, analysis and information-sharing.</li> </ul>

#### **MHPSS TWG workplans**

Don't
X Develop workplans without considering local needs or priorities.
X Develop unrealistic workplans that do not match local needs or capacities.
X Develop a workplan without consensus.
X Assume that TWG partners have aligned proce- dures for referral or communication.
X Develop a workplan without a clear division of labour.
X Implement a workplan that ends up being inefficient or leads only to a series of meetings and has little impact.
× Assume that the workplan will be effective.
X View development of the workplan as the end goal of the TWG.
X View the workplan as a final product that cannot be changed.

### **Annex 10** Tips for integrating MHPSS into rapid needs assessments

In any emergency, needs assessments form a critical foundation of the response. Needs assessments inform priority-setting and in some cases are integral to the allocation of funding (e.g. such as in the HNO and HRP process in cluster settings). Many tools exist to ensure that needs assessments are integrated, well coordinated and rapid in order to conserve resources, reduce the burden on affected persons and harmonize response efforts.

#### Key integrated needs assessment tools and approaches

- The Multi-Cluster/Sector Initial Rapid Assessment (MIRA) is a joint tool that can be used in suddenset of protocols, methods and tools design to classify onset emergencies, including IASC system-wide humanitarian needs and inform decision-making. scale-up and response. The JIAF was piloted in 27 countries to produce HNOs in 2021.
- The Assessment, Analysis, Planning & Monitoring • Many other resources for integrated needs Knowledge Management Platform (KMP) is a point assessments exist. For more information, please of reference for users seeking good field examples, see annex 14 Core Action 2. templates, guidance, tools and capacity-building materials relevant to coordinated needs assessment and analysis.

Despite efforts to integrate needs assessments, actors in different sectors vary in the ways in which they collect data on people in need and priorities for response. Working to ensure that MHPSS needs are reflected in these assessments is key to ensuring that MHPSS is given proper priority and support across sectors. The challenge is that many integrated needs assessment tools and approaches do not explicitly include MHPSS, and many assessment teams face challenges in covering all the potential cross-cutting areas that could be included in designing these assessments. As a result, MHPSS TWGs should:

- 1. Advocate for an MHPSS expert to be included in multi-sectoral or integrated assessment teams (e.g. the MIRA team) to ensure that MHPSS is integrated appropriately.
- 2. Complement integrated needs assessment data with pre-existing data on MHPSS needs, where possible, to ensure that MHPSS needs are accurately reflected in response planning processes.
- 3. Provide solutions for assessment teams by writing text, providing guidance to assessment teams or offering potential questions for needs assessment instruments.

#### Dos and don'ts of integrating MHPSS questions in multi-sectoral needs assessments

#### Do

needs, reactions and concerns of those affected.

vulnerable groups.

Ask about the main sources of support and how people are coping with the situation.

✓ Use existing guidance and toolkits to get started (see Annex 14 Core Action 2).

For more information and guidance on humanitarian needs assessments, please visit: https://www.humanitarianresponse.info/en/programme-cycle/space/page/assessments-overview



#### Don't

- ✓Ask what are the most immediate and pressing ×Use jargon or vague questions to ask about MHPSS (e.g. "What are your MHPSS needs?").
- $\checkmark$  Ask the affected population who they view as the most ~ imes Assume that vulnerable groups are always the same across different contexts.
  - X Assess levels or prevalence of mental health symptoms or disorders, particularly with tools that are not validated locally.
  - X Assume that all colleagues in the response are familiar with relevant guidance.

#### **1. Background**

Public health emergencies (PHEs) severely impact mental health and psychosocial well-being.<sup>1</sup> In this context, understanding the stressors that communities, families and individuals face, the supports available to them and their ability to cope is crucial for developing an effective response.

#### 2. Purpose of this annex

This annex provides practical guidance on conducting MHPSS assessments in the context of PHEs. This guidance supplements resources for conducting MHPSS assessments in emergency settings<sup>2, 3, 4</sup> and for addressing MHPSS needs during PHEs.

#### **3. Practical tips for conducting MHPSS assessments during PHEs**

The approach to conducting MHPSS assessments will vary depending on the context and purpose of the assessment. In general, MHPSS assessments in emergency settings should be aimed at 1) providing an understanding of the situation from an MHPSS perspective; 2) analysing problems and the ability to cope; and 3) analysing resources to inform the response required.<sup>5</sup> The following "dos and don'ts" can be used to effectively design an assessment in order to address these aims in the context of PHEs.

슬퍼 물 수가 문화한 것을 받아 물건을 통해 물건이 물건을 받아 들어가 가지 않는 것을 들어나 들어가 다.	
Do	Don't
Rely on existing data from all sectors, when possible. For example, existing data can inform prevalence estimates of MHPSS issues in humanitarian needs overviews. <sup>6.7</sup>	X Duplicate assessments or collect data that are unnecessary, will not add new information or are unsafe and may cause harm to those involved.
<ul> <li>Prioritize critical activities and proceed with extreme caution if conducting in-person assessments.</li> </ul>	<b>Carry out low-priority</b> or high-risk activities if these can be delayed until the situation is safer.
When new data are required, carry out rapid assessments of the situation, needs and resources to inform response.	<b>Carry out complex studies</b> , such as population- based studies, which are impractical in emergencies.
Protect people and staff by prioritizing safety and adapting to avoid unnecessary contact.	<b>X</b> Put people at risk of contracting COVID-19 by conducting unnecessary in-person contacts.
Ensure confidentiality, privacy and consent in assessment.	× Put people at risk of harm or stigma from others.
Link assessment to action and advocacy by analysing, sharing and acting on data collected.	<b>Collect data without using them</b> or with unrealistic promises about how data will be used.
✓ Tailor assessment tools to the context and situation.	X Implement a "one-size-fits-all" approach.
Carry out participatory and inclusive assessments as an opportunity to build trust and engage vulnerable groups. <sup>7</sup>	<b>Exclude or overlook vulnerable groups</b> or those with limited access (e.g. without remote access).
Integrate MHPSS within both multi-sectoral and single-sector assessments to inform a holistic MHPSS response.	<b>Exclude MHPSS questions</b> in other sectors or assume that MHPSS is not relevant.
✓ Coordinate MHPSS assessments across sectors.	× Carry out fragmented MHPSS assessments.

United Nations Secretary-General (2020). UN Policy Brief: COVID-19 and the Need for Action on Mental Health. https://unsdg.un.org/sites/default/files/2020-05/UN-Policy-Brief-COVID-19-and-mental-health.pdf

2 IASC Reference Group on MHPSS in Emergency Settings (2012). IASC Reference Group MHPSS Assessment Guide. https://interagencystandingcommittee.org/system/files/iasc\_rg\_mhpss\_assessment\_guide\_.pdf

3 WHO and UNHCR (2012). Assessing Mental Health and Psychosocial Needs and Resources: Toolkit for Humanitarian Settings.

https://apps.who.int/iris/bitstream/handle/10665/76796/9789241548533\_eng.pdf?sequence=1

International Medical Corps. Toolkit for the Integration of Mental Health into General Healthcare in Humanitarian Settings: Step 1. Assess & Plan for Mental Health Integration. <a href="https://www.mbinnovation.net/collaborations/IMC-Mental-Health-Integration-Toolkit">https://www.mbinnovation.net/collaborations/IMC-Mental-Health-Integration-Toolkit</a>

5 Charlson F, van Ommeren M, Flaxman A, Cornett J, Whiteford H, Saxena S New WHO prevalence estimates of mental disorders in conflict settings: a systematic review and meta-analysis. The Lancet, 2019; 240-248. doi:10.1016/S0140-6736(19)30934-1

6 Rogers JP, Chesney E, Oliver D et al. Psychiatric and neuropsychiatric presentations associated with severe coronavirus infections: a systematic review and meta-analysis with comparison to the COVID-19 pandemic. Lancet Psychiatry; 2020, 7(7):611-627. doi:10.1016/S2215-0366(20)30203-0

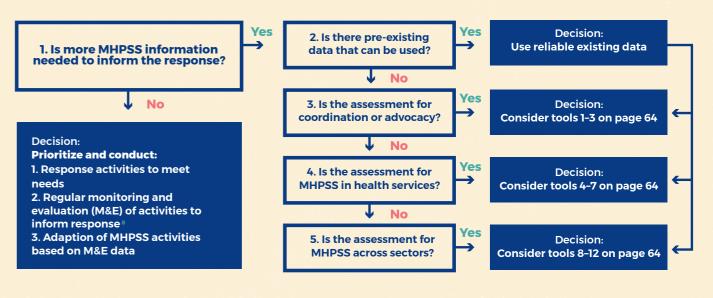
7 IASC (2020). COVID-19: How to include marginalized and vulnerable people in risk communication and community engagement.

https://interagencystandingcommittee.org/covid-19-how-include-marginalized-and-vulnerable-people-risk-communication-and-community-engagement



#### 4. Selecting tools for MHPSS assessments

The following decision tree can be useful for quickly determining the most appropriate approach for gathering MHPSS data. This approach, along with the tools selected, must be adapted to the local context.



#### **5. Tailoring MHPSS assessment tools to PHEs**

MHPSS assessments in the context of PHEs will require many adaptations similar to those necessary for MHPSS operations and interventions generally.<sup>9</sup> Likewise, assessment teams must be given adequate training in adapting assessment approaches or using adapted tools that are currently available.<sup>10</sup> When carrying out MHPSS assessments during COVID-19, the following priority questions may be particularly relevant. Page 64 details specific adaptations that may be useful for recommended assessment tools.



- What are the needs? To clarify how the PHE has impacted communities' needs, including those of potentially vulnerable groups, and if these needs are being met.
- How are services adapting? To review preexisting local response and changes in services across sectors in order to identify gaps and opportunities to further integrate MHPSS.
- What MHPSS services are available? To understand current access to MHPSS services across sectors, at all levels of the MHPSS intervention pyramid and for vulnerable groups.
- Is MHPSS coordinated among actors? To determin if there is an active MHPSS working group.
- What is the capacity to adapt? To assess if MHPSS actors have the capacity to provide adapted services (e.g. remote services) and identifying areas where increased capacity is required to continue services.

8 IASC. (2021). IASC Common Monitoring and Evaluation Framework for MHPSS Programmes in Emergency Settings: Version 2.0 with means of verification. https://interagencystandingcommittee.org/iasc-reference-group-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-settings/iasc-common-monitoring-and-evaluation-framework-mental-health-and-psychosocial-support-emergency-setting-setting-setting-setting-setting-setting-setting-setting-setting-setting-setting-set

 9 For further guidance, please visit: https://interagencystandingcommittee.org/mental-health-and-psychosocial-support-resources-covid-19
 10 A resource group for sharing MHPSS assessment tools for COVID-19 is available on MHPSS.net. For more information, please visit: https://app.mhpss.net/groups/current-mhpss-emergency-responses/novel-coronavirus-international-health-emergency-2020/covid19-assessment/



물건은 영화 영화가 잘 많다. 그렇게 들어 걸려 있다.
<ul> <li>What safety precautions are necessary? To identify safety needs to inform budgeting and planning.</li> </ul>
• Are human rights being protected? To identify any needs for advocacy to ensure that all persons, including those with mental health conditions and in institutions, are considered in prevention and mitigation plans.
How have community and family supports
changed? To determin changes in community
support networks, practices or structures (e.g.
school closures) and impact on coping in the context of the PHE.
What are the local perceptions, myths and
rumours about the PHE? To gaug community
attitudes and the attitudes of people who are ill.
Are remote tools available and used? To
determining if affected communities use and
have equal remote access to inform service
adaptation and identifying where MHPSS may be integrated.
IPSS Programmes in Emergency Settings: Version 2.0 with means of ve-

IASC & WHO/UNCHR assessment tools <sup>1</sup>		Examples of specific adaptations of tools for PHEs	
<b>Coordination</b> and advocacy	<b>1.</b> Who is Where, When, doing What (4Ws) in Mental Health and Psychosocial Support	<ul> <li>Assess service availability and adaptations required during PHE based on local measures, and identify gaps.</li> <li>Revise codes and sub-codes to include activities adapted for the PHE and relevant to the local context.</li> <li>Assess training on remote service provision and remote access, such as in Sheet 2 - Columns S, U or V.</li> </ul>	
	<b>2.</b> WHO-UNHCR Assessment Schedule for Serious Symptoms in Humanitarian Settings	<ul> <li>Decide whether there is a need to implement this tool to assess new problems related to the PHE or rely on existing data.</li> <li>If implemented, conduct remotely and develop a plan for ensuring access to vulnerable groups or remote locations.</li> </ul>	
	<b>3.</b> Humanitarian Emergency Setting Perceived Needs Scale (HESPER)	<ul> <li>Conduct interviews adapted to the PHE context (e.g. remote) and ensure that staff are trained in conducting adapted assessments where possible.</li> <li>Consider creating surveys or adapting physical spaces to allow for safe distancing if remote tools are not available.</li> </ul>	
MHPSS through health services	<b>4.</b> Checklist for site visits at institutions (e.g. hospitals, care homes, other residential facilities)	<ul> <li>Determine if inpatient units for mental health are included in PHE prevention and mitigation plans.</li> <li>Determine if precautions are in place to protect persons in institutions if someone is infected during the PHE.</li> </ul>	
	<b>5.</b> Checklist for integrating mental health into primary health care (PHC)	<ul> <li>Assess facilities' capacities to adapt MHPSS services and access to remote means, if necessary, including in assessing worker competency (Section 2), impact of the emergency (Section 6), and social indicators (Section 7).</li> <li>Review the files of all service users and prioritize care for people with severe conditions or distress to minimize health visits. Include estimated number of service users in relevant areas (e.g. Section 5).</li> <li>Assess plans to integrate MHPSS into infection prevention and control (IPC) measures, such as quarantine units.</li> </ul>	
	<b>6.</b> Neuropsychiatric components of the health information system (HIS)	• Adapt the HIS to deliver remote consultations and other adapted service delivery, if relevant locally.	
	7. Template to assess mental health system resources	<ul> <li>Assess # of facilities with capabilities for remote services.</li> <li>Assess # of personnel with experience of providing remote services or available for home visits, if safe and feasible.</li> </ul>	
MHPSS through different sectors, and the community	<b>8.</b> Checklist on obtaining general information from sector leads	<ul> <li>Assess adaptations across sectors due to the PHE and impacts on access to various services (e.g. school closures).</li> <li>Identify opportunities to integrate MHPSS within adapted services.</li> </ul>	
	<b>9.</b> Template for desk review of pre-existing information relevant to MHPSS	<ul> <li>Review internal and external documents, guidance notes or recommendations related to the PHE response and concerning health, protection, risk communication and community engagement in the local context.</li> <li>Assess access to and acceptability of technologies (e.g. internet, cell services, cultural acceptance of technology).</li> </ul>	
	<b>10.</b> Participatory assessment: perceptions of general community members	• Prioritize vulnerable groups, such as older persons, persons with health issues or pre-existing mental health conditions, women and children, persons with limited access to services or support, and persons who have themselves or whose family members have been infected during the PHE and may be in quarantine or isolation.	
	<b>11.</b> Participatory assessment: perceptions of community members with in-depth knowledge of the community	<ul> <li>Include targeted questions that ask about the PHE, such as "How are people who are infected treated?" and "What do people think is causing the PHE?" to assess perceptions of the pandemic and at-risk groups.</li> <li>Assess potential protection issues that may be amplified due to movement and other restrictions.</li> </ul>	
	<b>12.</b> Participatory assessment: Perceptions of severely affected people	<ul> <li>Assess participants' knowledge, fears, concerns, coping and needs regarding the PHE.</li> <li>Assess continued access to social support or unique barriers to seeking support, such as in Question 2.2.</li> </ul>	

<sup>1</sup> WHO and UNHCR (2012). Assessing Mental Health and Psychosocial Needs and Resources: Toolkit for Humanitarian Settings. https://apps.who.int/iris/bitstream/handle/10665/76796/9789241548533\_eng.pdf?sequence=1

#### **Annex 12** Tips for integrating MHPSS across sectors during the humanitarian programme cycle

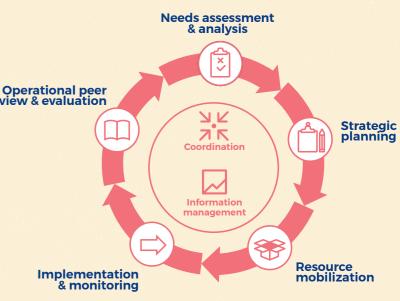
In emergency settings where the cluster system is active, Humanitarian Country Teams (HCTs) follow a general response structure known as the humanitarian programme cycle (HPC; depicted right). The HPC consists of five main elements that are intended to coordinate humanitarian response efforts. Key outputs of the HPC are country-based Humanitarian Response Plans (HRPs), based on Humanitarian Needs Overviews (HNOs), that describe priority needs. HRPs generally inform the strategic plans of each cluster or AoR. As a result, they are typically key reference documents for priority-setting and for resource allocation. Therefore, it is essential that MHPSS requirements are integrated into HNOs and HRPs, where these are in place. For this to happen, MHPSS TWGs must work closely with cluster and AoR coordinators. However. challenges exist in some contexts. These are described below, along with potential solutions.

review & evaluation

#### **Challenges and potential solutions**

#### Challenges

- HNOs and HRPs have limited space for each sector: There is generally little space in HNOs and HRPS to describe the needs of each sector. As a result, there is often little space for lengthy paragraphs devoted to MHPSS or other cross-cutting areas.
- There is massive pressure from many areas of work: HCTS, cluster coordinators, AoR coordinators and others who develop HRPs experience massive pressures to include many areas of work, not just MHPSS, and can be overwhelmed with guidance in doing so.
- Every cluster has its own methods: Every cluster has its own methods to determine people in need and to prioritize geographic areas or response actions. Thus, what works in one setting or with one cluster may not work for another.
- Opinions about MHPSS, and where it fits, vary: Although the IASC (2007) Guidelines clearly establish the cross-cutting nature of MHPSS, actors do not always agree on where it fits within and across sectors.



#### **Potential solutions**

- Try to be part of the solution: Assist coordinators in preparing relevant text, offer to review or rewrite text if needed, and be generally available to offer solutions, not problems.
- Work bilaterally: Every cluster is different, and so too is every cluster coordinator. It is essential to work in collaboration with these colleagues to identify the best ways to support their integration of MHPSS.
- Be present at key moments: Advocating for a "seat at the table" in HNO and HRP planning and development meetings, in ICCG meetings and on needs assessment teams (that will feed into the HNO process) is key to ensuring that MHPSS has an active voice in the process.
- Remember the cross-sectoral nature of MHPSS: Because MHPSS is by nature cross-cutting, try to make links across sectors in suggested text. For example, link areas of work that relate to one another, such as child protection and education.

#### Annex 13 MHPSS sustainability checklist<sup>1</sup>

Questions	<b>Answer</b> (Yes/No/In progress [IP])	Comments
<b>1.</b> Have we assessed existing services, including traditional ones, to see how they are functioning and what support they might need?	□ Yes □ No □ IP	
<b>2.</b> Are MHPSS services being developed while considering the system as a whole (e.g. from informal community supports to tertiary care levels)?	□ Yes □ No □ IP	
<b>3.</b> Are investments being made in local resources for MHPSS (e.g. investments in people and in services, rather than in buildings)?	□ Yes □ No □ IP	
<b>4.</b> Have we engaged all local and international actors in this field to collaborate and coordinate?	□ Yes □ No □ IP	
<b>5.</b> Do local staff represent the majority of the response, including decision-makers?	□ Yes □ No □ IP	
<b>6.</b> Are local communities actively engaged in leading MHPSS assessments, programmes and systems?	□ Yes □ No □ IP	
7. If the answers to questions 5 and 6 are no (due to the emergency necessitating short-term use of outside support), are we developing a transition strategy for handover?	□ Yes □ No □ IP	
<b>8.</b> Are international MHPSS actors supporting and respecting the central role of national authorities?	□ Yes □ No □ IP	
<b>9.</b> Are we aligning with relevant existing national strategies, policies and plans (e.g. national mental health plans)?	□ Yes □ No □ IP	
<b>10.</b> If no relevant national plans or policies exist (e.g. national mental health strategy), are we advocating for and supporting their development?	□ Yes □ No □ IP	
<b>11.</b> Are MHPSS actors supporting system, policy and service reform that is sustainable in the long term?	□ Yes □ No □ IP	

I Checklist adapted from: Patel et al. (2011). Transitioning mental health & psychosocial support: from short-term emergency to sustainable post-disaster development. Humanitarian Action Summit 2011. Prehospital and disaster medicine, 26(6), p.470.



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Core Action 1: (Re)establishing and maintaining
<ul> <li>Sample MHPSS TWG ToR description (including com coordinator position, surge capacity deployments of</li> </ul>
• Sample MHPSS TWG ToRs. English, English 2, French
<ul> <li>IOM (2021). Manual on Community-Based Mental He and Displacement. Annex 1: Inter-Agency Coordinati</li> </ul>
<ul> <li>IOM (2021). Community-Based Mental Health and Ps Displacement E-Campus Course. Module 9: Inter-Age</li> </ul>
<ul> <li>IASC (2019). Community-Based Approaches to MHPSS</li> </ul>
Core Action 2: Information management
Child Protection Working Group (2012). Child Protect
• DTM and Partners Toolkit. <u>Available here.</u>
ACAPS (2014). Humanitarian Needs Assessment: The
UNHCR Needs Assessment Handbook. Available here
MHPSS.net online 4Ws mapping tool. Available here
MHPSS MSP Gap Analysis Tool. <u>Available here.</u>
MHPSS.net collation of tool translations, reports and
IASC MHPSS RG. (2012). IASC MHPSS 4Wws Mapping
IASC MHPSS Reference Group (2013). MHPSS Assess
IMC (2017). Who is Doing What Where & When (4Ws)
<ul> <li>ACAPs. (2016). Questionnaire Design: How to design humanitarian emergencies. <u>Available here.</u></li> </ul>
WHO & UNHCR (2012). Assessing MHPSS Needs and I <u>Available here.</u>
GBV AoR Assessment Tools and Methodology Guida
Core Action 3: Establishing links between stake
Child Protection AoR & Global Education Cluster (20) Collaboration Framework. See MHPSS Thematic Pap Evaluation of Collaboration. <u>Available in English</u> , Fre
IASC Reference Group on MHPSS in Emergency Sett Disseminate and Implement the IASC Guidelines on Emergency Settings, targeting different sectors.
IASC (2011). MHPSS in Humanitarian Emergencies: W Know? <u>Available here.</u>
IASC (2011). MHPSS in Humanitarian Emergencies: W Available in Arabic, Chinese, English, Russian, Spanis
<ul> <li>IASC (2011). MHPSS in Humanitarian Emergencies: W Management Actors Know? <u>Available in English</u>.</li> </ul>
<ul> <li>Harrison et al. (2020). MHPSS and protection outcom psychosocial wellbeing of people affected by conflic protection actors. Policy Discussion Paper. <u>Available</u></li> </ul>
• WHO & King's College London (2011). The Humanitari
International Mine Action Standards – Victim Assista
IASC MHPSS Reference Group (2017). Inter-agency Reference Group (2017).
IASC MHPSS Reference Group (2017). Inter-agency Re Available here
Core Action 4: Capacity-building, knowledge ex
<b>______</b> _

MHPSS.net Training, Courses and Materials Group. Available here.







#### a technical working group

npetencies or minimum requirements for f coordinators). Available in English.

, <u>French 2</u>.

alth and Psychosocial Support in Emergencies on. Available in English.

sychosocial Support in Emergencies and ency Coordination. Available in English.

Programmes: A Guidance Note. Available in English.

#### tion Rapid Assessment Toolkit. Available here.

good enough guide. Available here.

previous mappings. Available here.

Tool. Available here.

ment Guide. Available here.

in MHPSS in Jordan. Available here.

questionnaires for needs assessments in

Resources: Toolkit for humanitarian settings.

nce. Available here.

holders

20). Education in Emergencies - Child Protection er, Joint Implementation and Monitoring and nch, Spanish.

ings (2010). Six Orientation Seminars to Mental Health and Psychosocial Support in

/hat Should Protection Programme Managers

/hat Should Humanitarian Health Actors Know?

/hat Should Camp Coordinators and Camp

nes: Why joint action to improve mental health and t, violence and disasters should be a priority for all here.

ian Perceived Needs Scale. Available here.

ance Standard. Available here.

eferral Form and Guidance Note. Available here.

ferral Form and Guidance Note Training Package.

xchange and peer support

#### **Core Action 5: Ensuring monitoring and evaluation**

- IASC (2021). Common Monitoring and Evaluation Framework for MHPSS in Emergency Settings: With means of verification (Version 2.0). Available in <u>Arabic</u>, <u>English</u>, <u>French</u> and <u>Spanish</u>.
- MHPSS.net (2021). IASC Common Monitoring and Evaluation Framework Means of Verification Toolkit. <u>Available in English</u>

#### **Core Action 6: Promoting sustainability**

- WHO (2005). Mental health policy, plans and programmes (updated version 2). Geneva, World Health Organization, (Mental Health Policy and Service Guidance Package). <u>Available here.</u>
- WHO (2013). Building Back Better: Sustainable mental health care after emergencies. Available here.
- IMC (2016). Guidance Note: Disengagement/Exit strategies for the Discontinuation or Handover of Programming. <u>Available here.</u>
- IMC (2015). Toolkit for Integration of Mental Health into General Health Care: Cross-Cutting Component To Sustain Mental Health Services. <u>Available here.</u>
- Patel et al. (2011). Transitioning mental health & psychosocial support: from short-term emergency to sustainable post-disaster development. Humanitarian Action Summit 2011. Prehospital and disaster medicine, 26(6), p.470. <u>Available here.</u>
- Pérez-Sale P, Férnandez-Liria A, Baingana F, and Ventevogel P (2011). Integrating mental health into existing systems of care during and after complex humanitarian emergencies: rethinking the experience. Intervention, 9(3), pp.345-357. <u>Available here.</u>
- MHIN (2015). Mental Health for Sustainable Development. Available here.

#### **Core Action 7: MHPSS advocacy**

- IMC Toolkit for the Integration of Mental Health into General Healthcare in Humanitarian Settings. <u>Available here.</u>
- UNICEF (2018). MHPSS in Emergencies Advocacy Brief. Available here.
- WHO (2003). Mental Health Policy and Service Guidance Package. Available here.
- CBM (2013). Self Advocacy Toolkit for Mental Health Service Users. Available here.
- The INDIGO Network. <u>Available here.</u>
- IASC (2011). MHPSS RG Advocacy Package. Available here.
- IASC Reference Group on MHPSS in Emergency Settings (2015). WHS Advocacy Paper on Mental Health and Psychosocial Support. <u>Available here.</u>
- MHIN & LSHTM (2015). Global Mental Health Communications Toolkit. Available here.
- MHIN (2015). Mental Health for Sustainable Development. <u>Available here.</u>
- MHIN (2015). Global Mental Health Policy Influence Toolkit. Available here.
- K4D (2019). Implications of Not Addressing MPHSS Needs in Conflict Settings. Available here.



